

# Local Delivery of Immunoactive Complex for Systemic Therapy of Solid Tumors

Yoon Yeo

Industrial and Physical Pharmacy  
& Biomedical Engineering



**CRS 2022 Annual Meeting & Expo**

July 11 – 15, 2022 | Montreal Congress Center, Montreal Canada

*Advanced Delivery Science*

# Yeo Lab

- **Intracellular delivery**
  - Gene therapeutics
  - Antimicrobial agents against intracellular pathogens
- **New methods of delivering anticancer drugs**
  - Nanocrystals; nanoparticles; nanocapsules
- **Immunomodulatory formulations**
  - Anti-inflammatory applications
  - Immunostimulating nanoparticles
- **Long-acting local drug delivery systems**
  - Anti-inflammatory implants
  - Ocular drug delivery systems



Yeo lab in lab shirts, May 2022



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- Hillary Holback
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- Emily Gullotti
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- Eli Lilly
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- LIFA fellowship
- Showalter Trust
- Purdue Research Foundation
- Purdue Center for Cancer Research

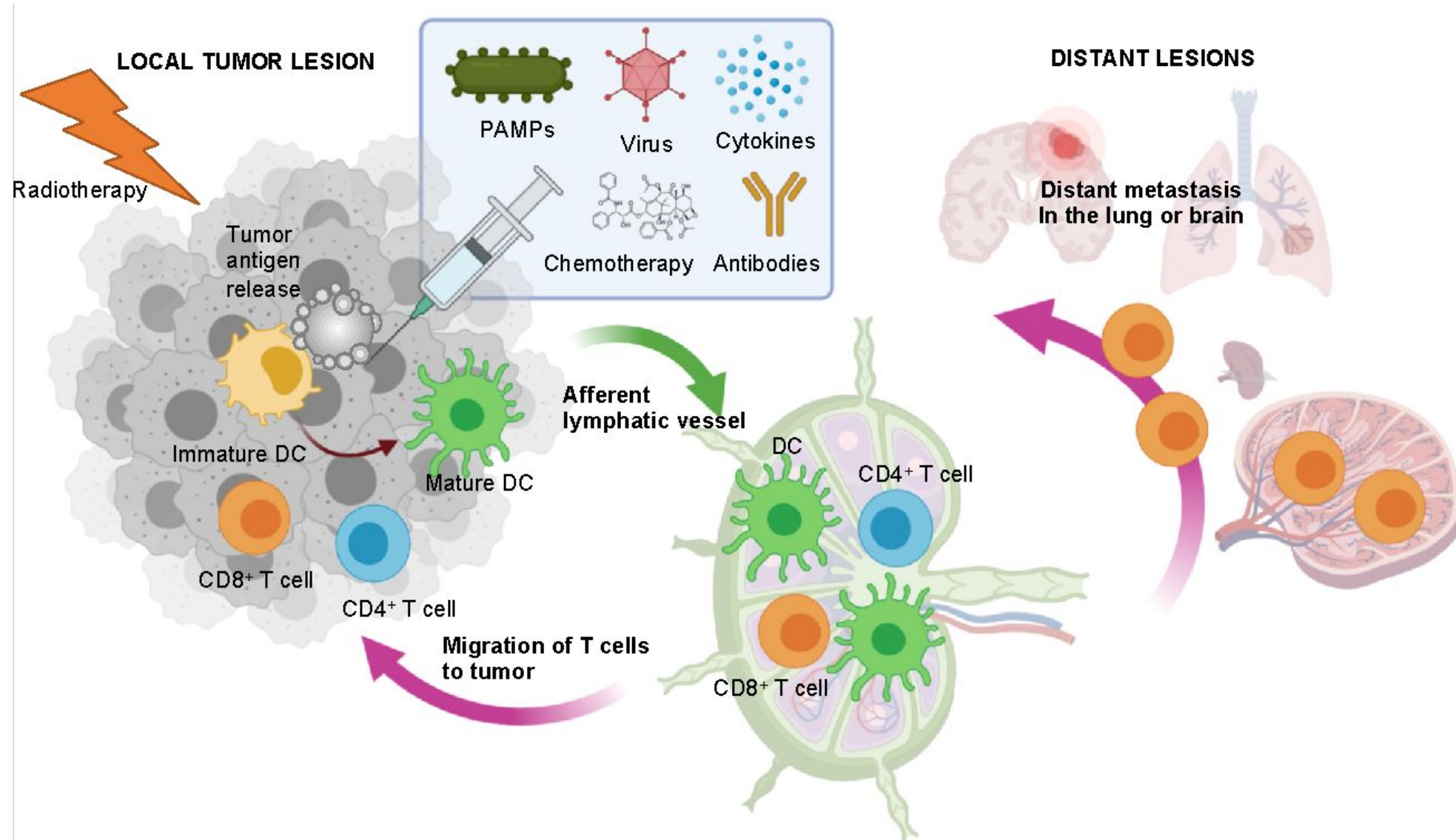


Yeo Lab @ 2020 Summer commencement ceremony



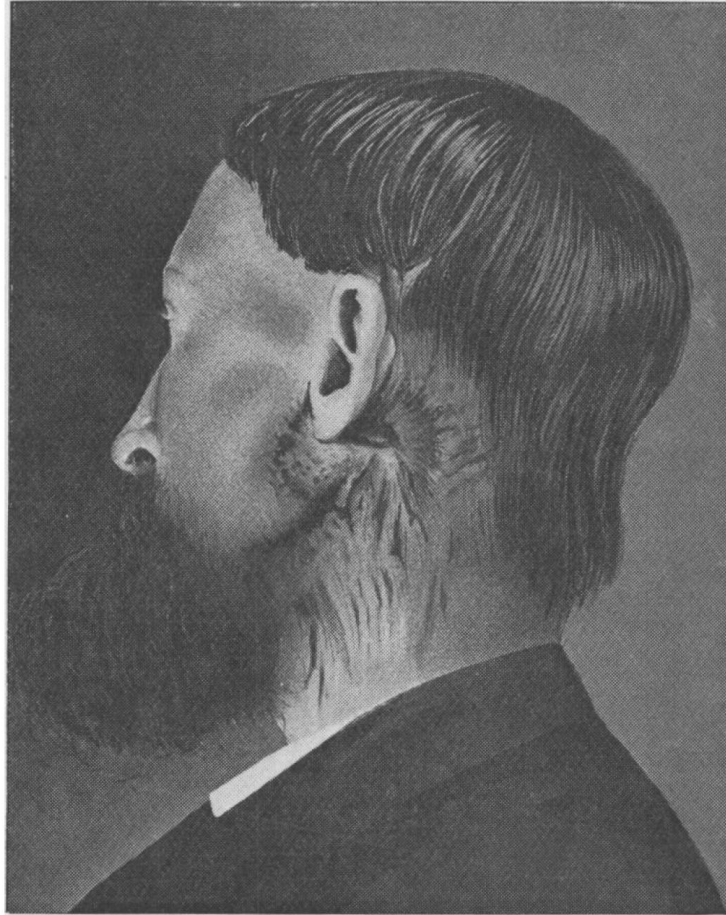
# Intratumoral Delivery of Immunotherapy

***“Act Locally, Think Globally” – Act locally, function systemically***





# Intratumoral Delivery of Immunotherapy



Coley, W. B., The Treatment of Inoperable Sarcoma by Bacterial Toxins (the Mixed Toxins of the Streptococcus Erysipelas and the Bacillus Prodigiosus). *Proceedings of the Royal Society of Medicine* **1910**, 3 (Surg Sect), 1-48.

FIG. 1.

Recurrent round-celled sarcoma. Spontaneous recovery following accidental erysipelas. Photograph taken seven years after the cure.



# Bankruptcy of nanomedicine firm worries drug developers

Financial troubles of leading biotech firm highlight challenges of making innovative drugs.

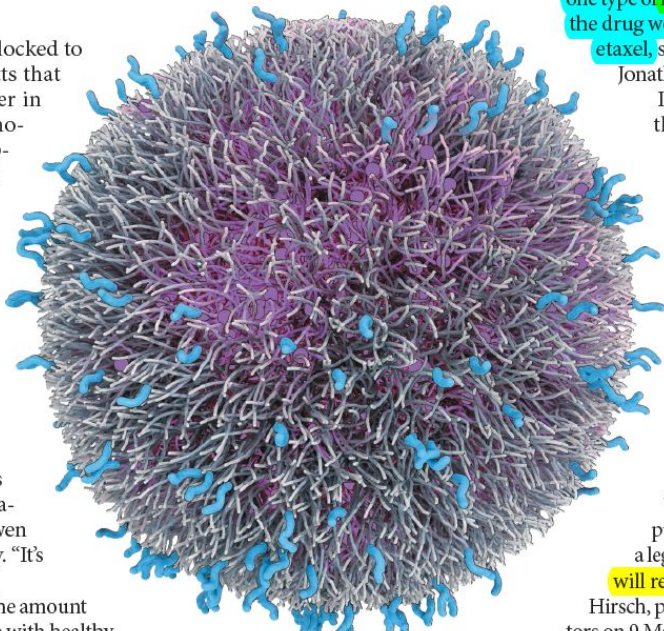
BY HEIDI LEDFORD

Not long ago, investors flocked to a firm in Massachusetts that was hailed as the leader in a wave of next-generation nanotechnology companies developing ways to ferry cancer drugs to tumours. But on 2 May, the company — BIND Therapeutics — declared bankruptcy.

Researchers in the field of nanomedicine are waiting anxiously to see whether the Cambridge-based firm will pull through its financial crisis — and whether its troubles will taint the swiftly evolving field of nanoparticle drug delivery. “It’s been a rapid rise and fall,” says Eric Schmidt, a biotechnology analyst at the investment bank Cowen and Company in New York City. “It’s all unravelled pretty quickly.”

Because nanoparticles lessen the amount of contact that cancer drugs have with healthy tissue, they offer a chance to deliver higher doses with fewer side effects. In 1995, the US Food and Drug Administration approved the first such treatment, Doxil, which packages a chemotherapy drug called doxorubicin in a lipid nanoparticle. The particles are too large to escape from normal blood vessels — and so are less toxic to the heart than naked doxorubicin — but they can seep out of the leaky blood vessels often found in tumours.

BIND’s nanoparticles were designed to target tumours more precisely than liposome particles can. The company’s lead product, BIND-014, involves a polymer particle coated



BIND Therapeutics’ nanoparticle is coated in molecules that target it to tumours.

with a molecule that steers the particle to a protein found in many tumours. The particle releases the chemotherapy drug it carries, called docetaxel, inside the tumour.

Early tests in animals and small clinical trials showed that the approach was safer than docetaxel alone — and fuelled BIND’s US\$70.5-million initial public offering in 2013. But later clinical trials disappointed. BIND-014 failed against cervical and head-and-neck cancers. Although it was somewhat effective against

one type of lung cancer, it was not clear whether the drug worked any better than regular docetaxel, says BIND’s chief scientific officer Jonathan Yingling.

In April, the company announced that it would cut back on its work with BIND-014, and Yingling says that the firm will now explore new targets. It cut the number of employees by 38% and aims to trim its expenses to \$6 million per quarter — a dramatic decrease for a company that spent \$11 million on research and development alone in the first quarter of 2016.

After one of its creditors demanded that BIND repay a loan ahead of schedule, the company filed for bankruptcy (see “Troubled times”). It plans to dispute the need for early repayment at a legal hearing on 18 May. “BIND is and will remain open for business,” Andrew Hirsch, president of the company, told investors on 9 May.

Schmidt says that BIND remains at the technological forefront of nanoparticle drug delivery, but waited too long to move away from BIND-014. By then, the investor enthusiasm for biotechnology that had driven BIND’s initial public offering had cooled. “People are not interested in funding technology right now,” Schmidt says. “They’re interested in funding later-stage projects. And the one at this company didn’t have what it takes.”

In the time since BIND-014 was developed, researchers have also realized that differences between tumours — such as size, density and leakiness of the blood vessels that lace through

COURTESY BIND THERAPEUTICS

what's new

NC-60 Nanopla

Results of Phase III study of NK105, a novel macromolecular micelle encapsulating an anticancer drug

2016/07/05

NC-40 DACH-P Active su Oxaliplat

NC-63 Epirubicin

NC-62 ADCM-E

Active-NanoFect

NK105 Paclitaxel Micelle

Tokyo, Japan, July 05, 2016 – Nippon Kayaku Co., Ltd. (Head Office: Tokyo; President: Masanobu S. hereinafter referred to as “Nippon Kayaku”) announced that in a phase III clinical study of its in-house developed polymeric micelle anti-cancer drug NK105 in patients with metastatic or recurrent breast cancer, the primary endpoint of the study, progression free survival (PFS), did not meet the prespecified statistical criteria. The study is a randomized, multinational study comparing weekly administration of NK105 versus Paclitaxel in terms of efficacy and safety in patients with metastatic or recurrent breast cancer. The primary endpoint of the study is statistical non-inferiority of PFS. Detailed efficacy and analyses from this study are expected to be presented at an upcoming scientific congress. Future development of NK105 will be further examined.

About NK105  
NK105 is a novel DDS (Drug Delivery System) formulation encapsulating active ingredient paclitaxel in macromolecular micelles.

Co-Research with Chugai Pharmaceutical and In-house research

Breast Cancer (Japan - Asia)\*\*

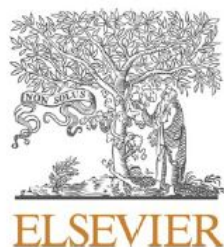
Stomach Cancer (Japan)

Out-licensed to Nippon Kayaku

# Carrier consideration

- Retain immunotherapeutic agents locally to maximize their pharmacological effects in tumors and prevent systemic side effects
- Co-deliver multiple drugs, which share little physicochemical features and would otherwise not colocalize
- Stimulate antitumor immunity to leverage immunostimulatory effects of therapeutic agents





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journal homepage: [www.elsevier.com/locate/jconrel](http://www.elsevier.com/locate/jconrel)



# Nucleic acid and oligonucleotide delivery for activating innate immunity in cancer immunotherapy

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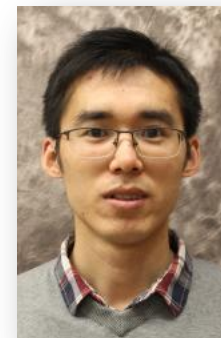
Cancer immunotherapy  
Innate immune system  
Nucleic acids  
Oligonucleotides  
Drug delivery

## ABSTRACT

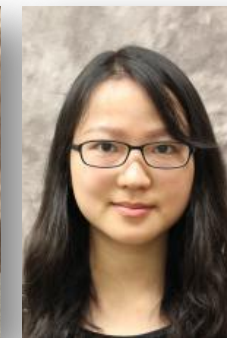
A group of nucleic acids and oligonucleotides play various roles in the innate immune system. They can stimulate pattern recognition receptors to activate innate immune cells, encode immunostimulatory proteins or peptides, or silence specific genes to block negative regulators of immune cells. Given the limitations of current cancer immunotherapy, there has been increasing interest in harnessing innate immune responses by nucleic acids and oligonucleotides. The poor biopharmaceutical properties of nucleic acids and oligonucleotides make it critical to use carriers that can protect them in circulation, retain them in the tumor microenvironment, and bring them to intracellular targets. Therefore, various gene carriers have been repurposed to deliver nucleic acids and oligonucleotides for cancer immunotherapy and improve their safety and activity. Here, we review recent studies that employed carriers to enhance the functions of nucleic acids and oligonucleotides and overall immune responses to cancer, and discuss remaining challenges and future opportunities in the development of nucleic acid-based immunotherapeutics.



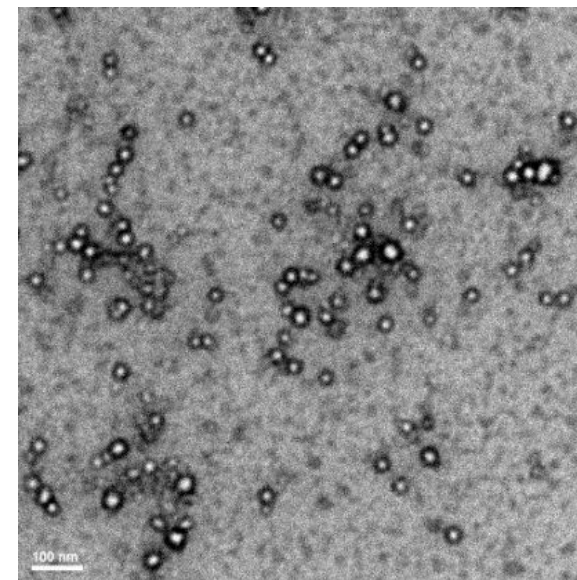
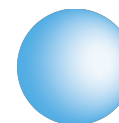
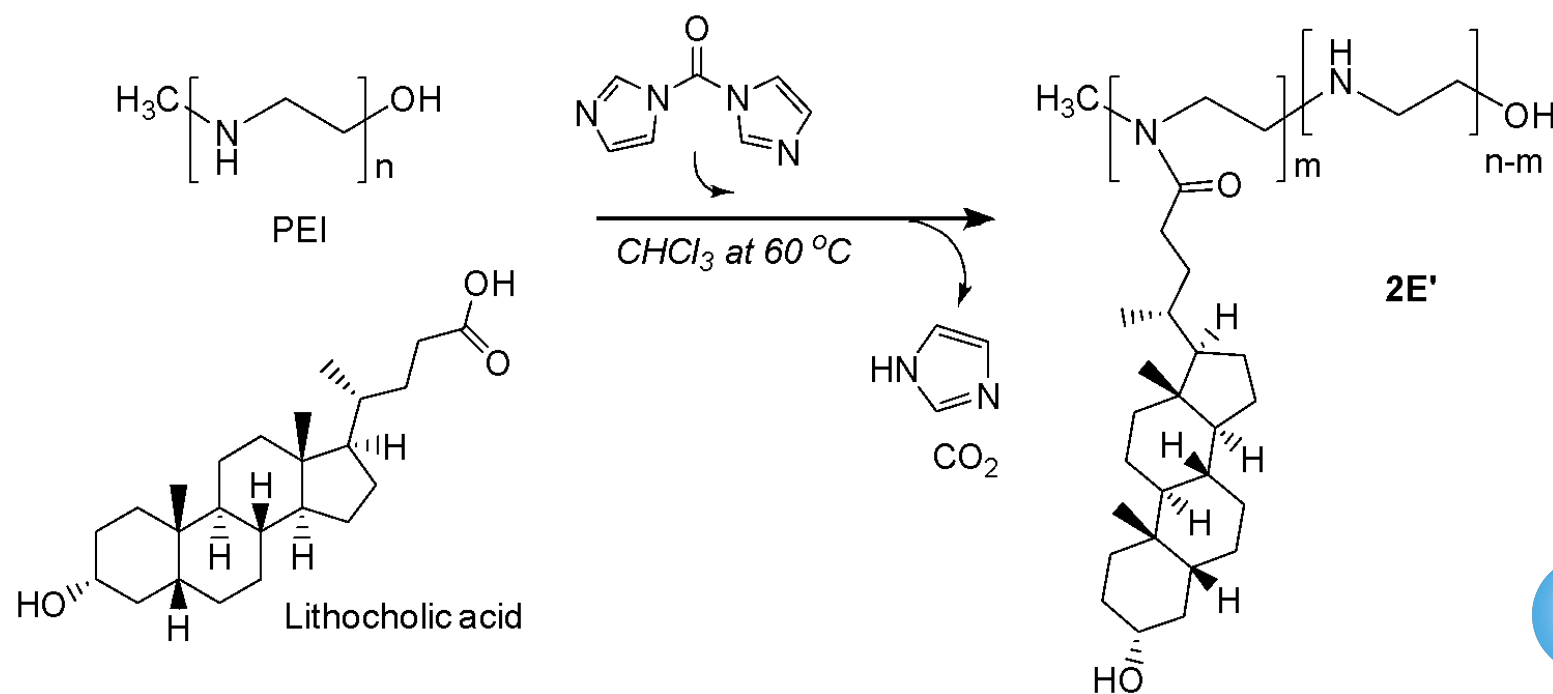
# Immunoactive complexes



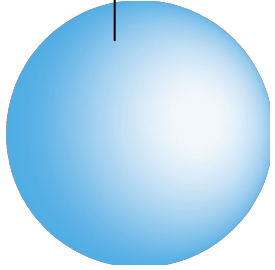
Fanfei Meng



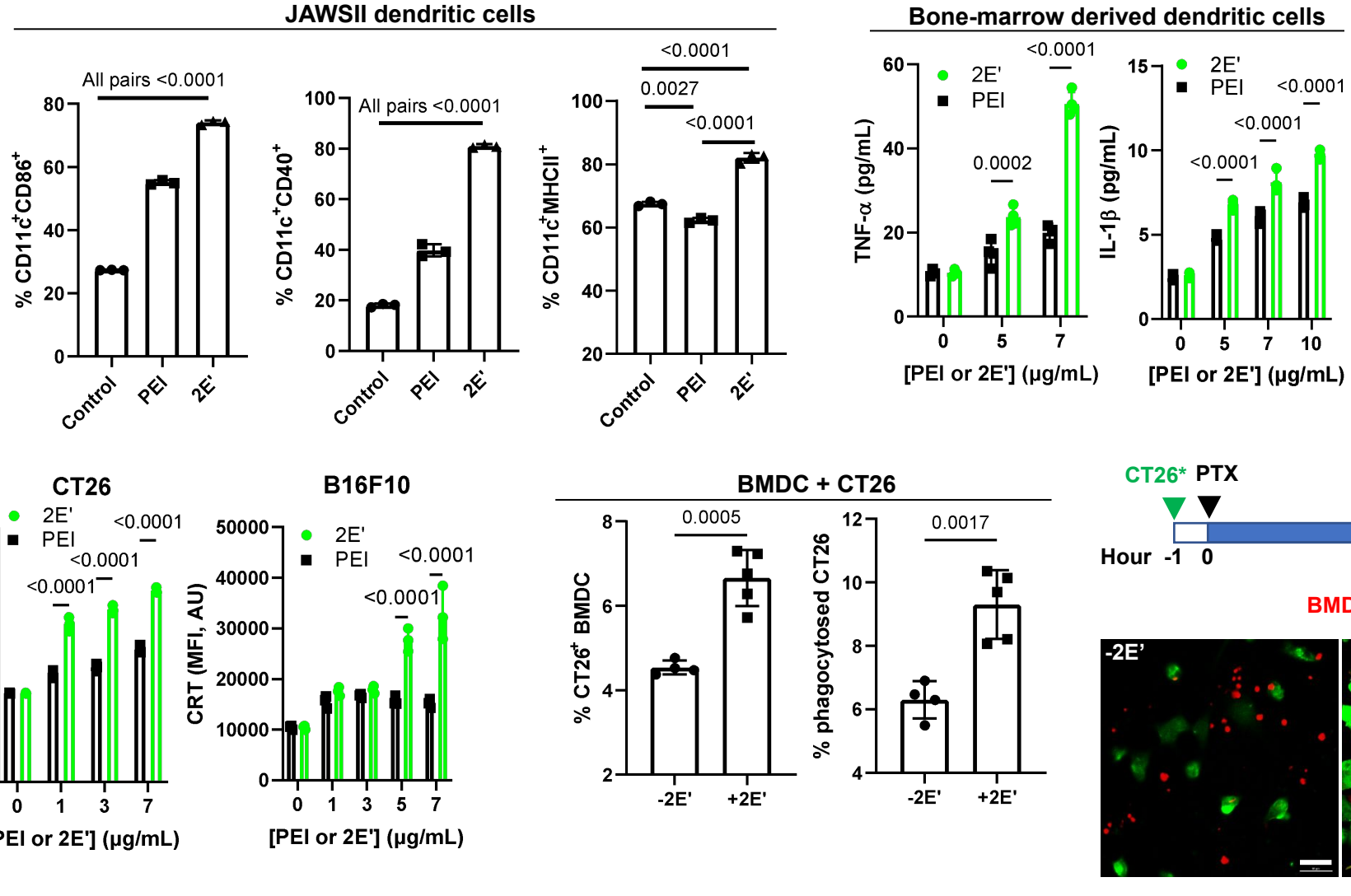
Jianping Wang



Polyethyleneimine derivative (2E')



# 2E' serves as an immunoadjuvant and enhances cancer cell uptake by antigen presenting cells (APCs)

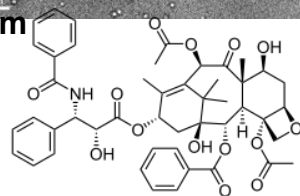
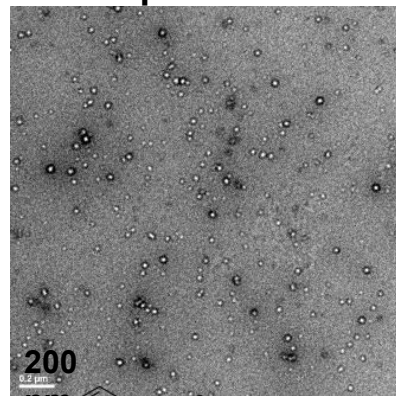




## Hydrophobic drugs

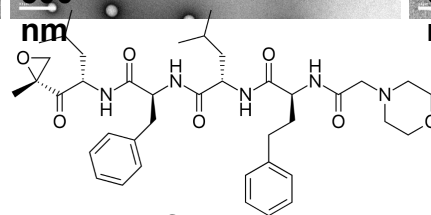
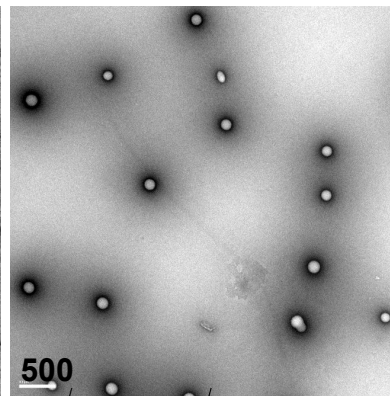
## 2E' forms nanoparticulate self-assemblies with hydrophobic drugs

## 2E'/paclitaxel



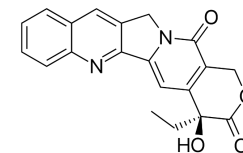
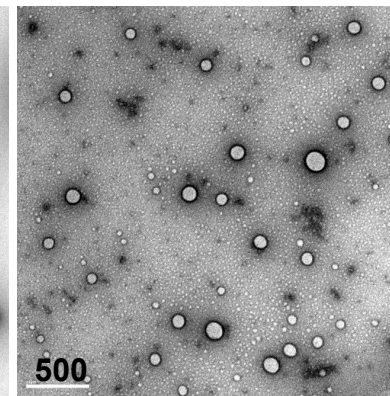
## 2E'/DiR

## 2E'/carfilzomib

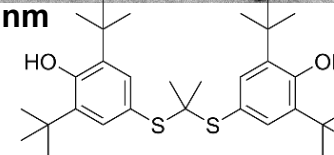
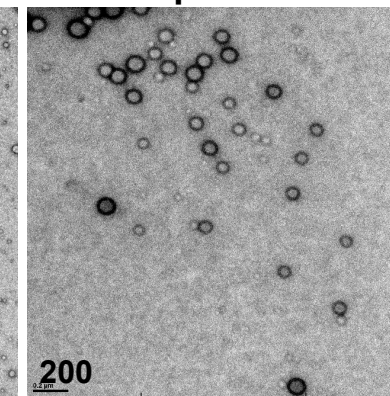
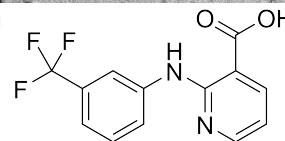
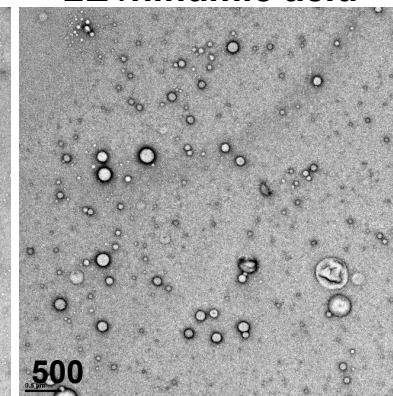
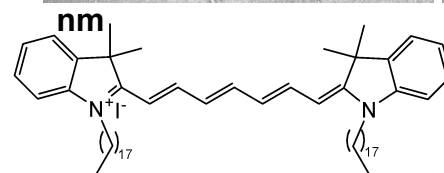
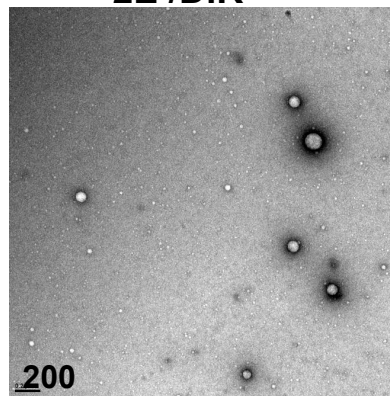


**2E'/niflumic acid**

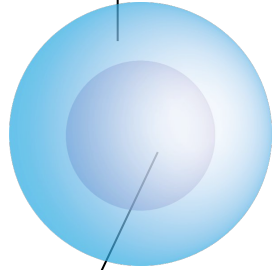
**2E'/camptothecin**



**2E'/probucol**

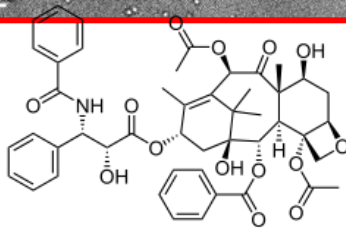
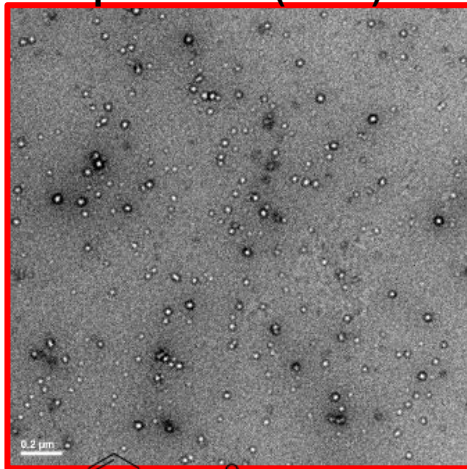


Polyethyleneimine  
derivative (2E')

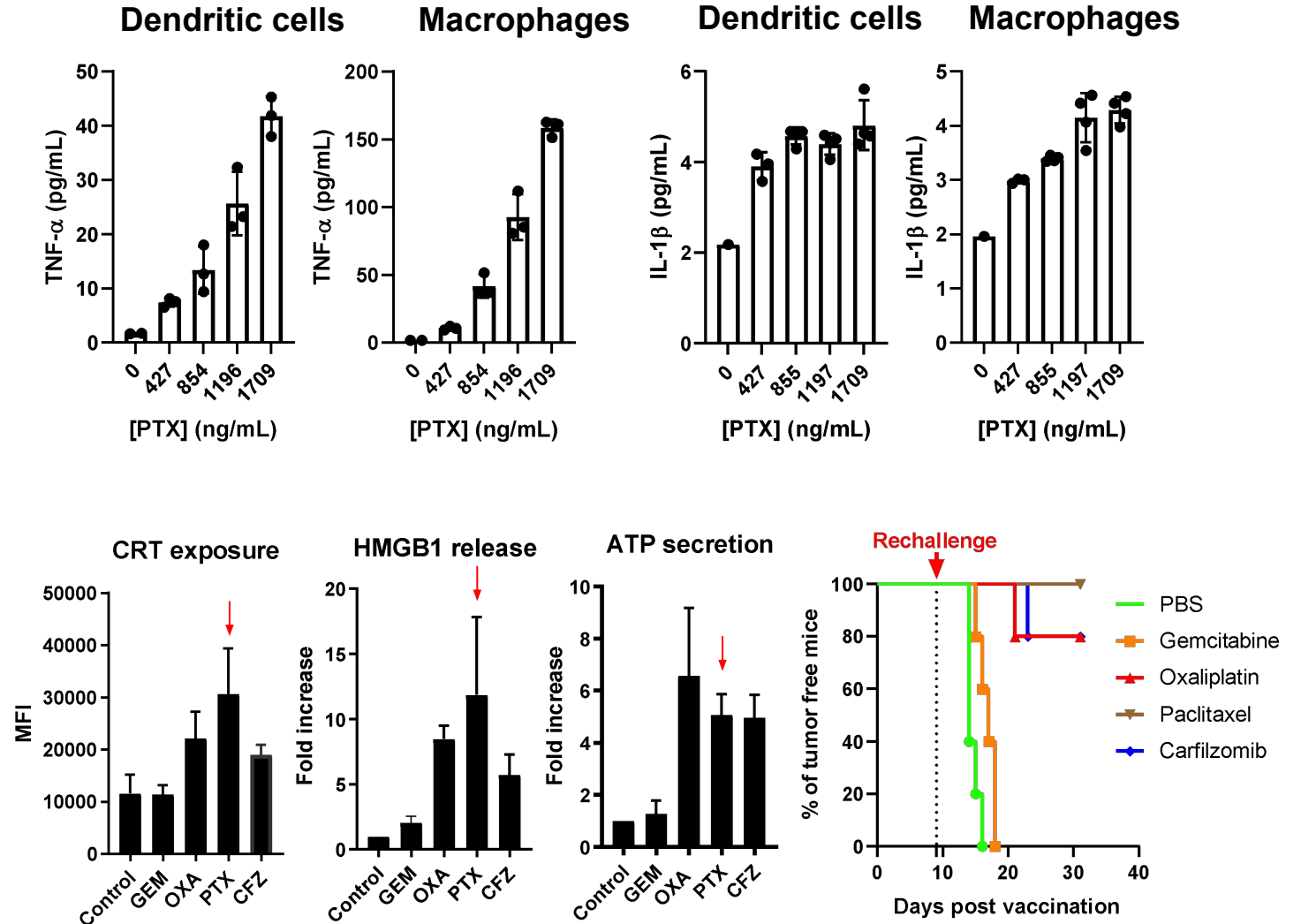


Paclitaxel

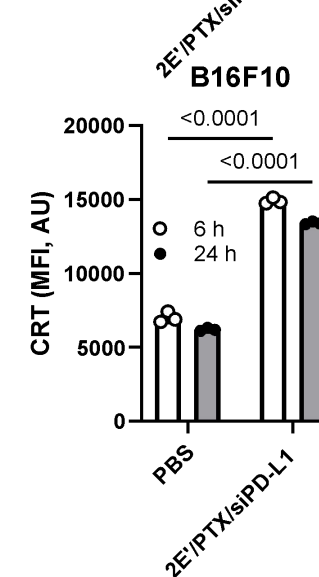
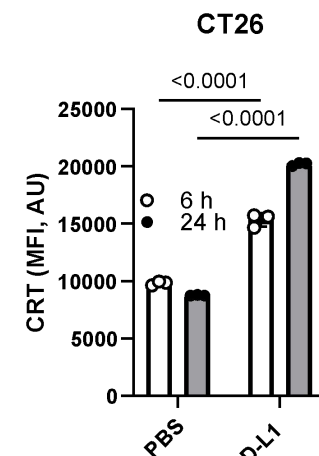
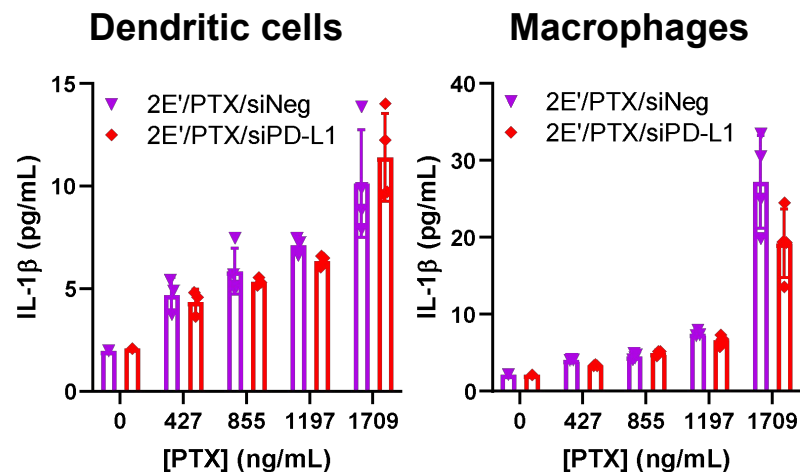
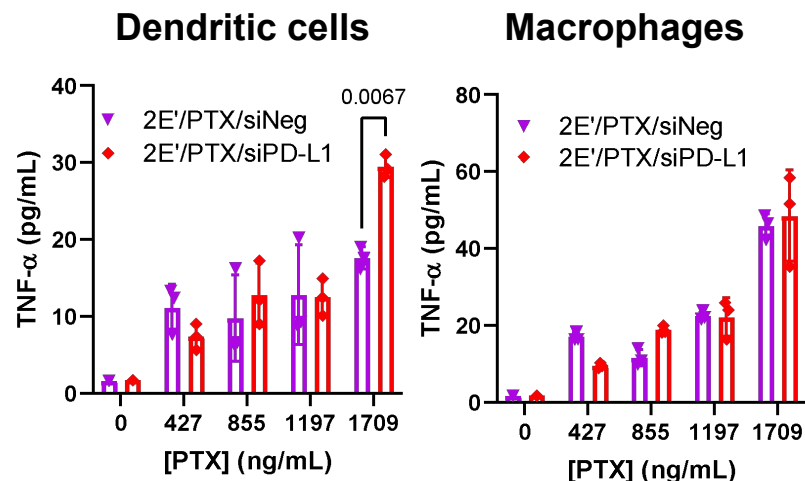
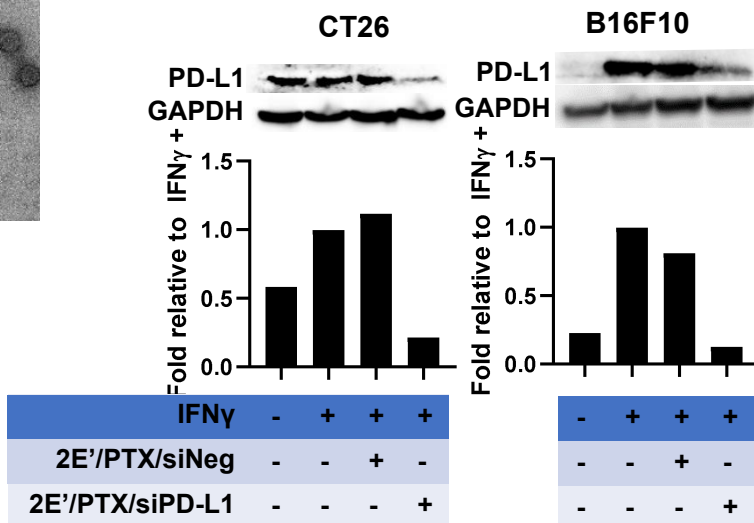
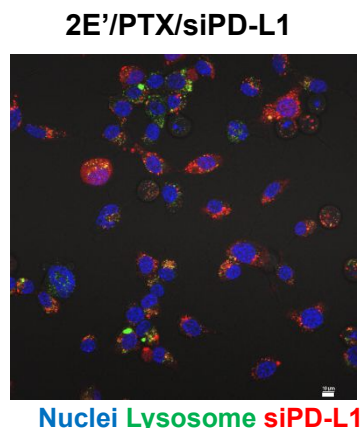
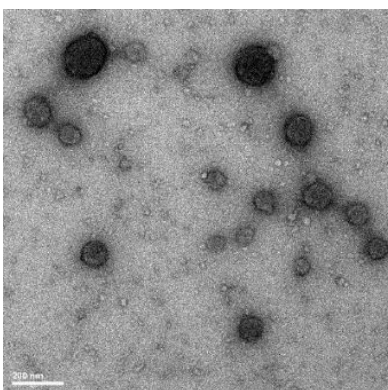
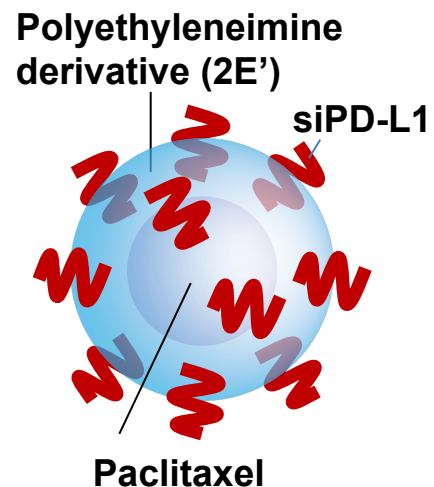
2E'/paclitaxel (1:0.2)



# Paclitaxel (PTX) stimulates antigen-presenting cells and induces immunogenic death of tumor cells

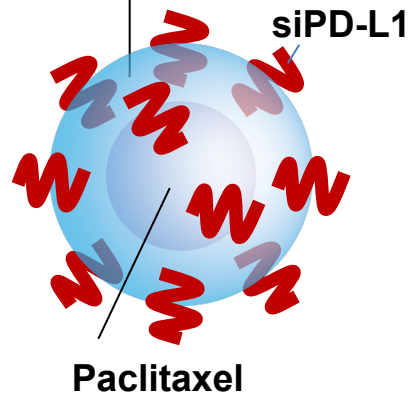


# 2E' carries paclitaxel and siPD-L1, retaining its immunostimulatory effects.

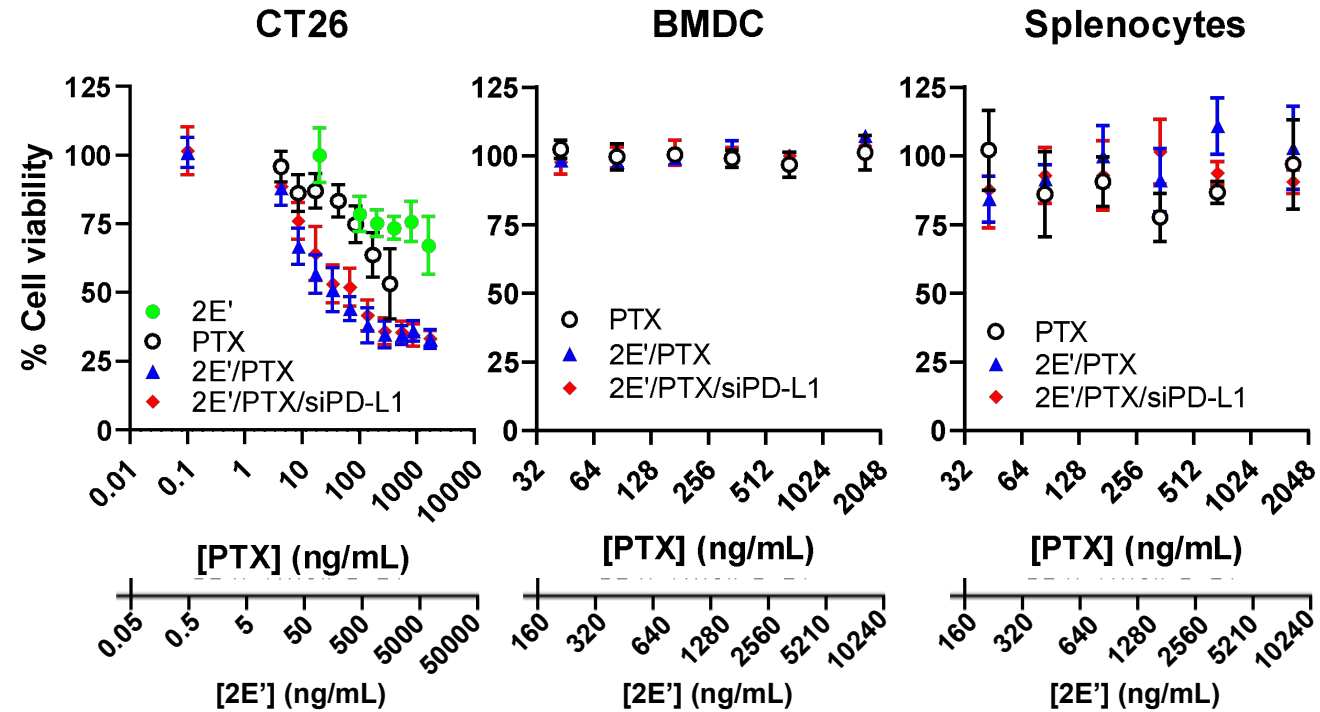




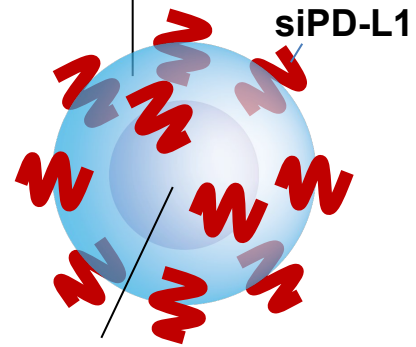
Polyethyleneimine  
derivative (2E')



## 2E'/PTX shows selective toxicity to tumor cells

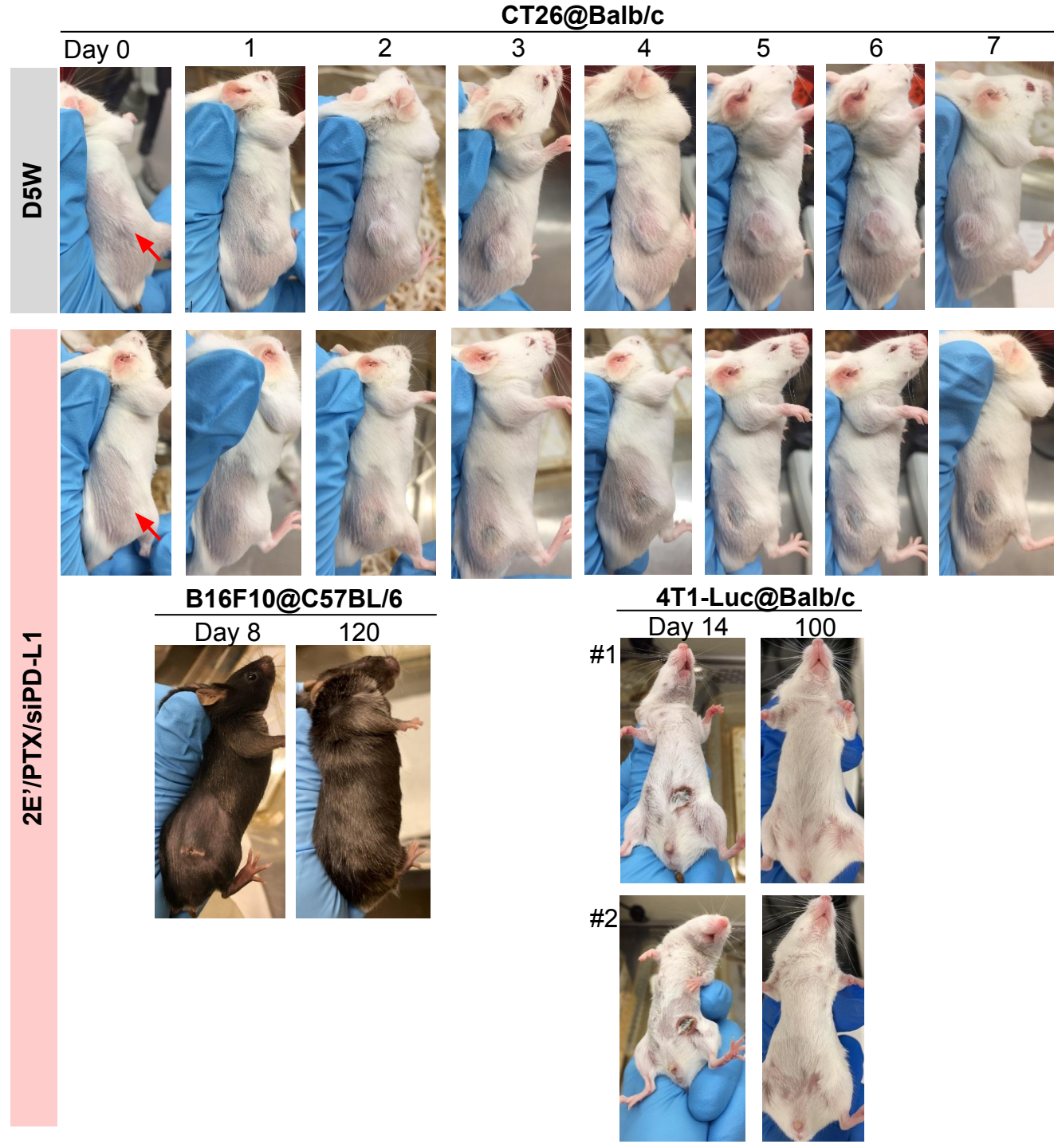


Polyethyleneimine  
derivative (2E')

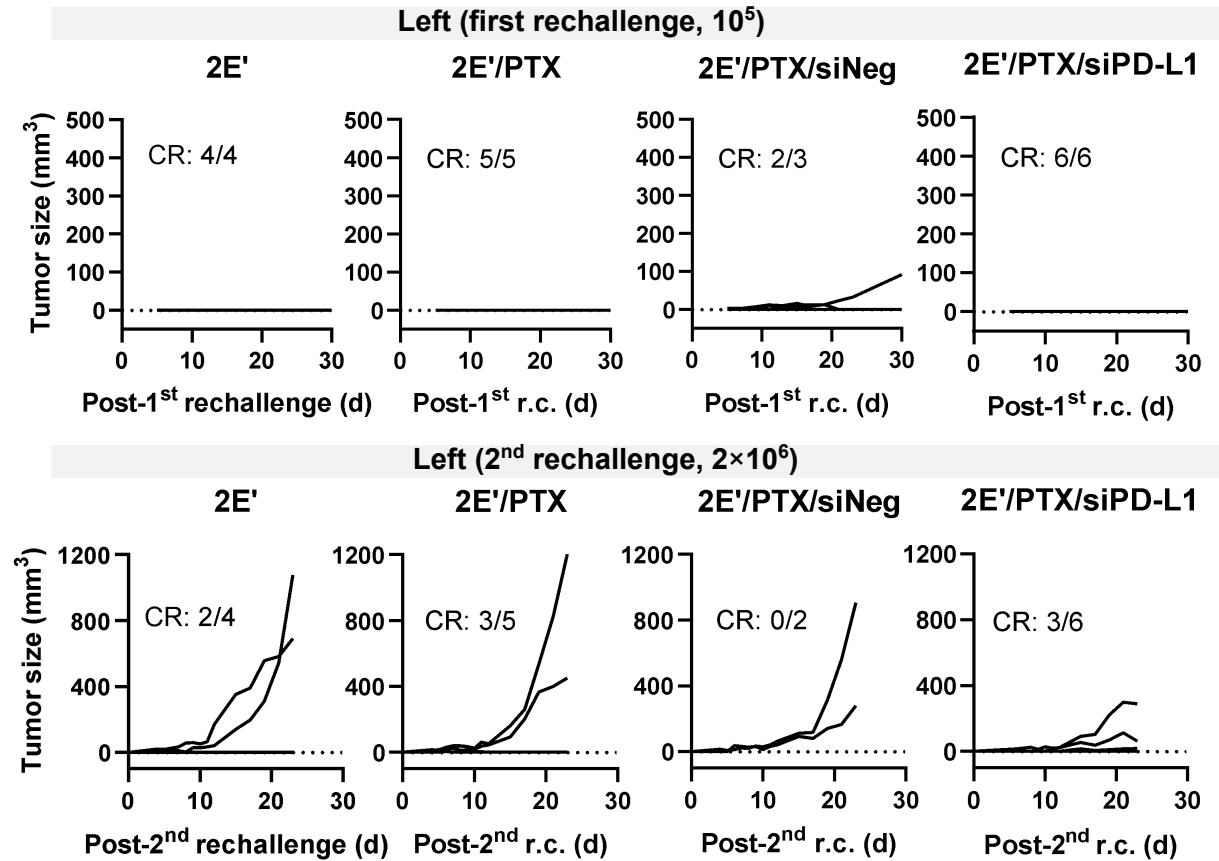
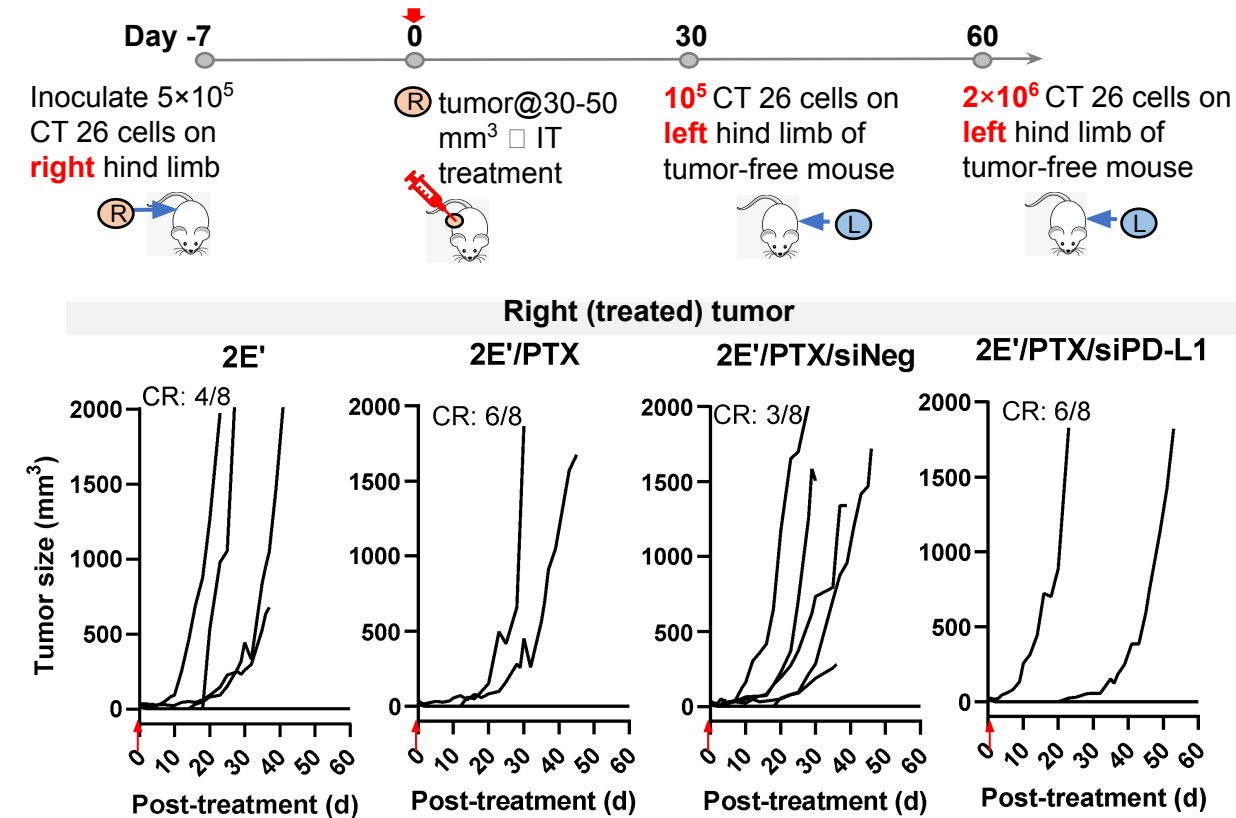


Paclitaxel

Single local administration  
of 2E'/PTX/siPD-L1 induces  
immediate regression of  
large established tumors

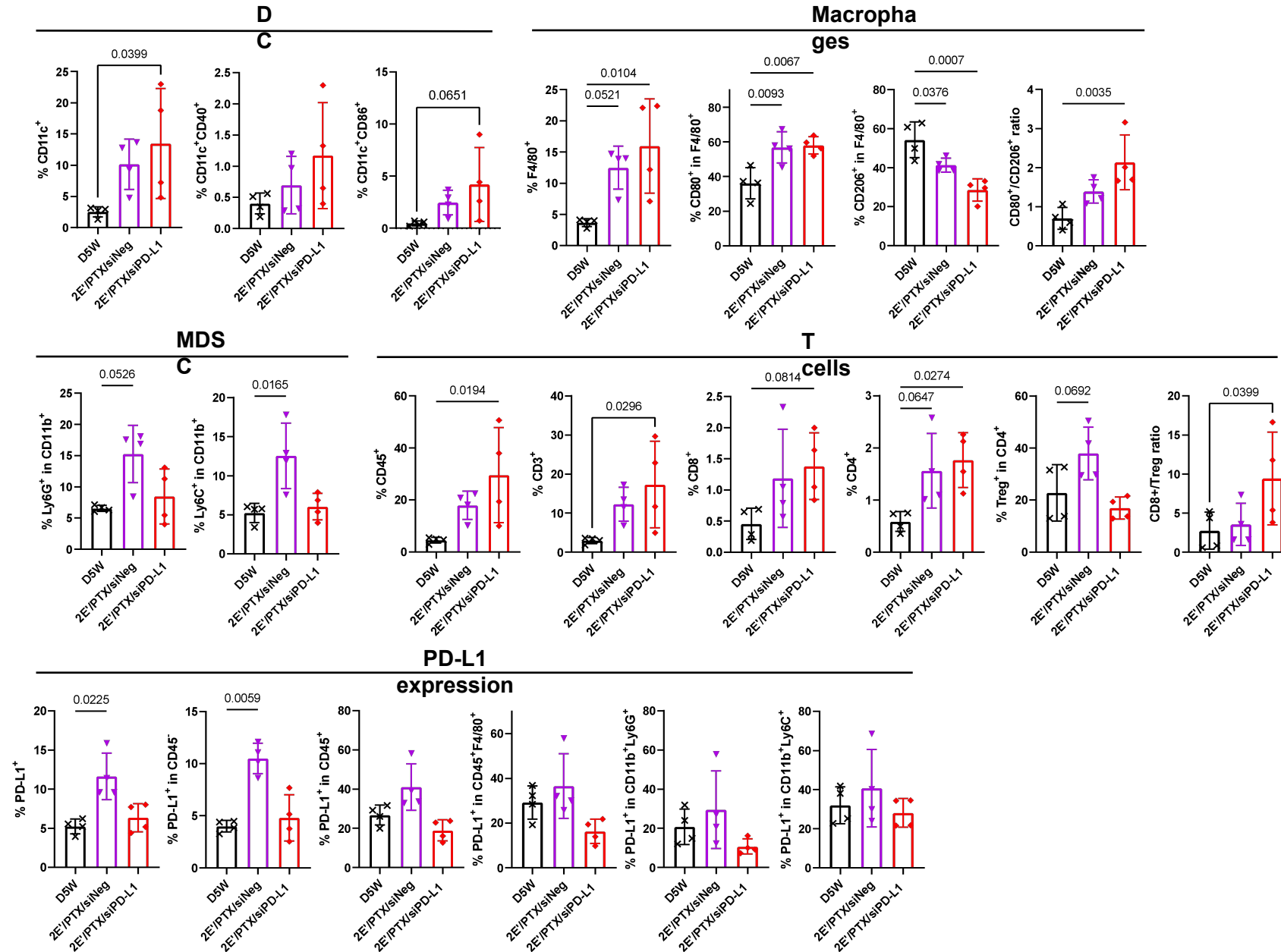


# Single intratumoral injection of 2E'/PTX/siPD-L1 induces tumor regression and protects animals from repeated tumor challenge in CT26@Balb/c model.

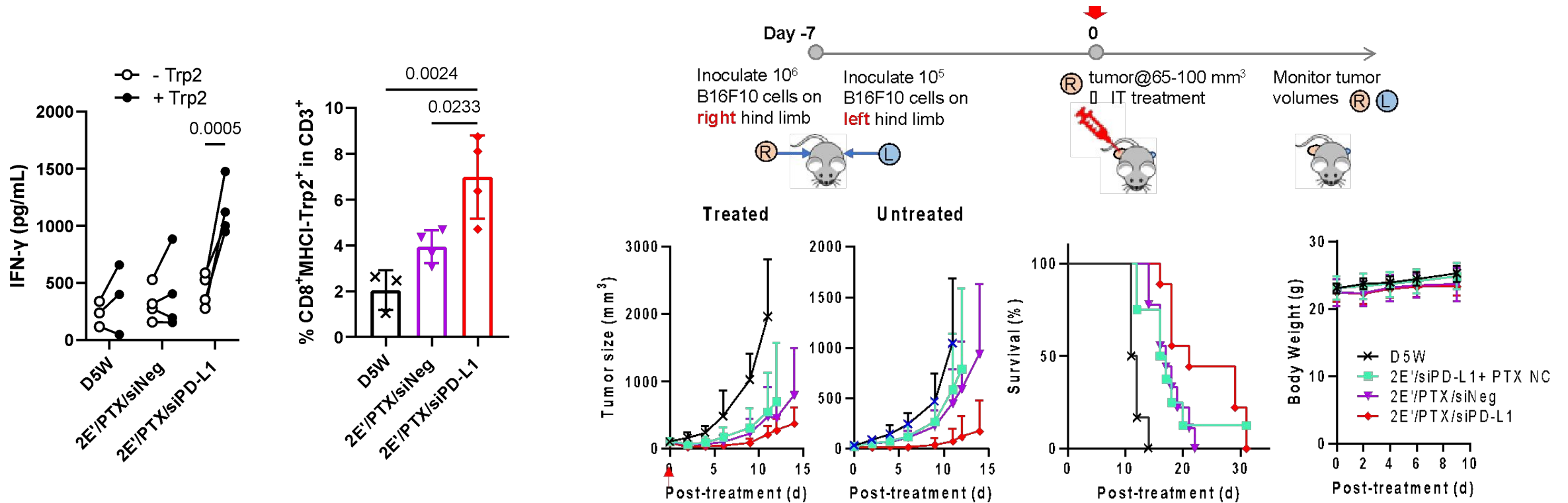




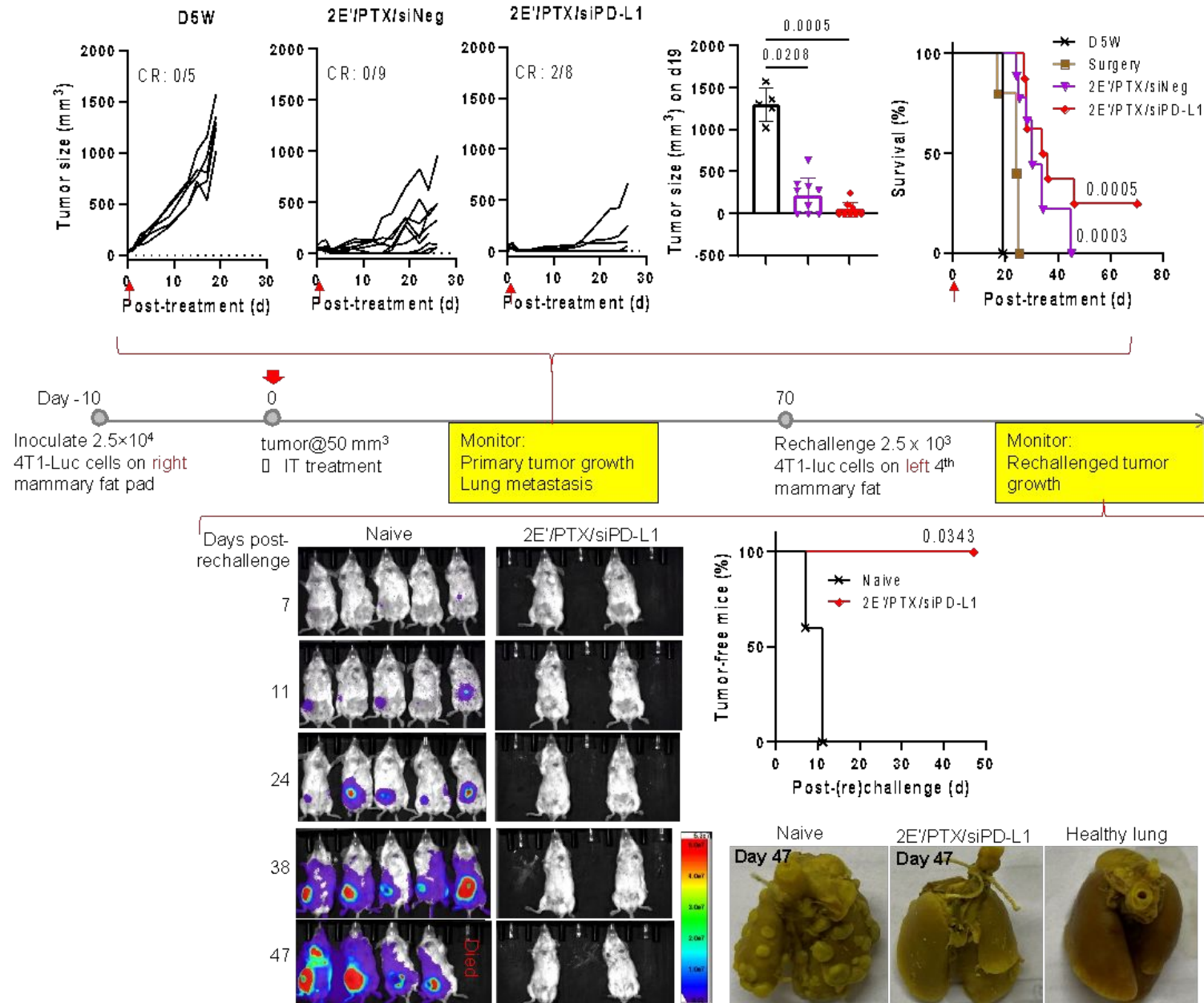
# Single intratumoral injection of 2E'/PTX/siPD-L1 induces and maintains immunoactive phenotype in poorly immunogenic B16F10 tumors.



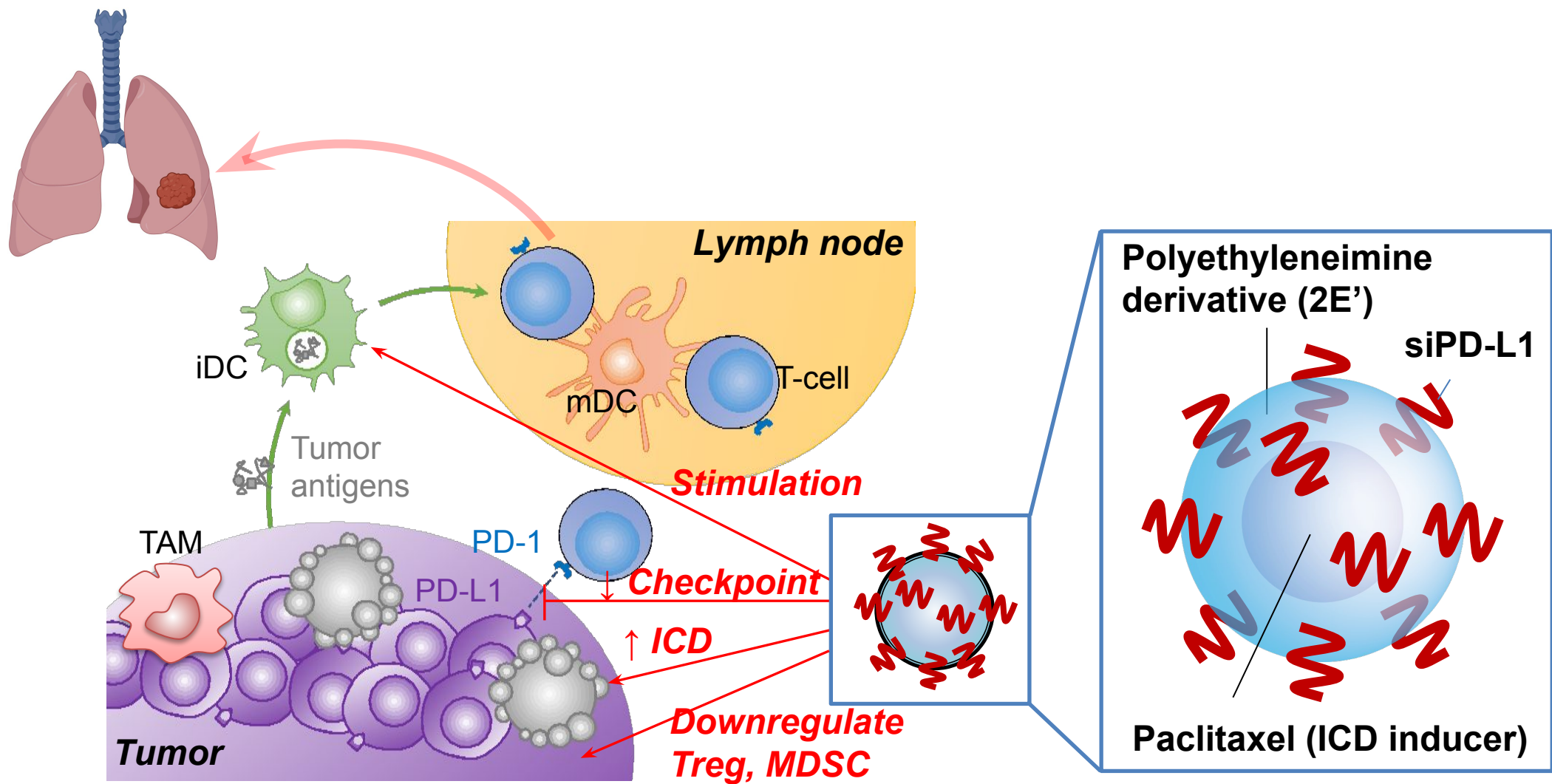
# Single intratumoral injection of 2E'/PTX/siPD-L1 primes systemic antitumor immunity in B16F10 tumors.



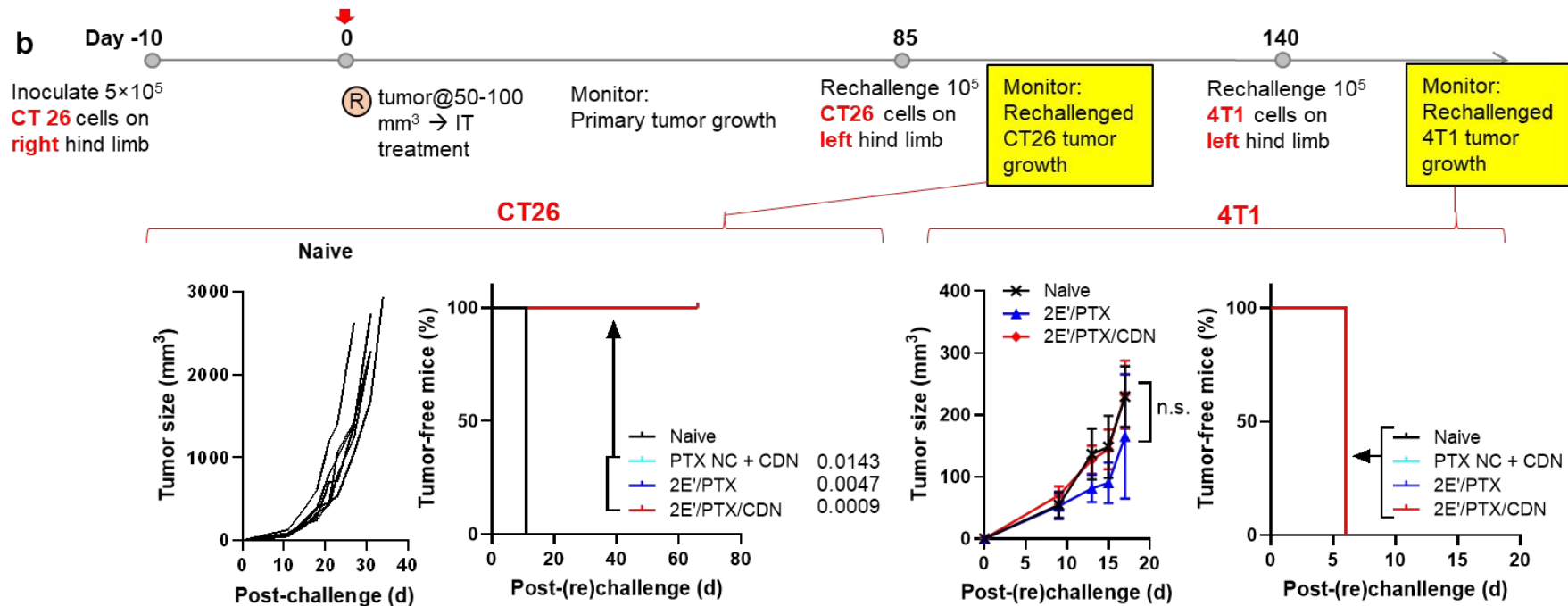
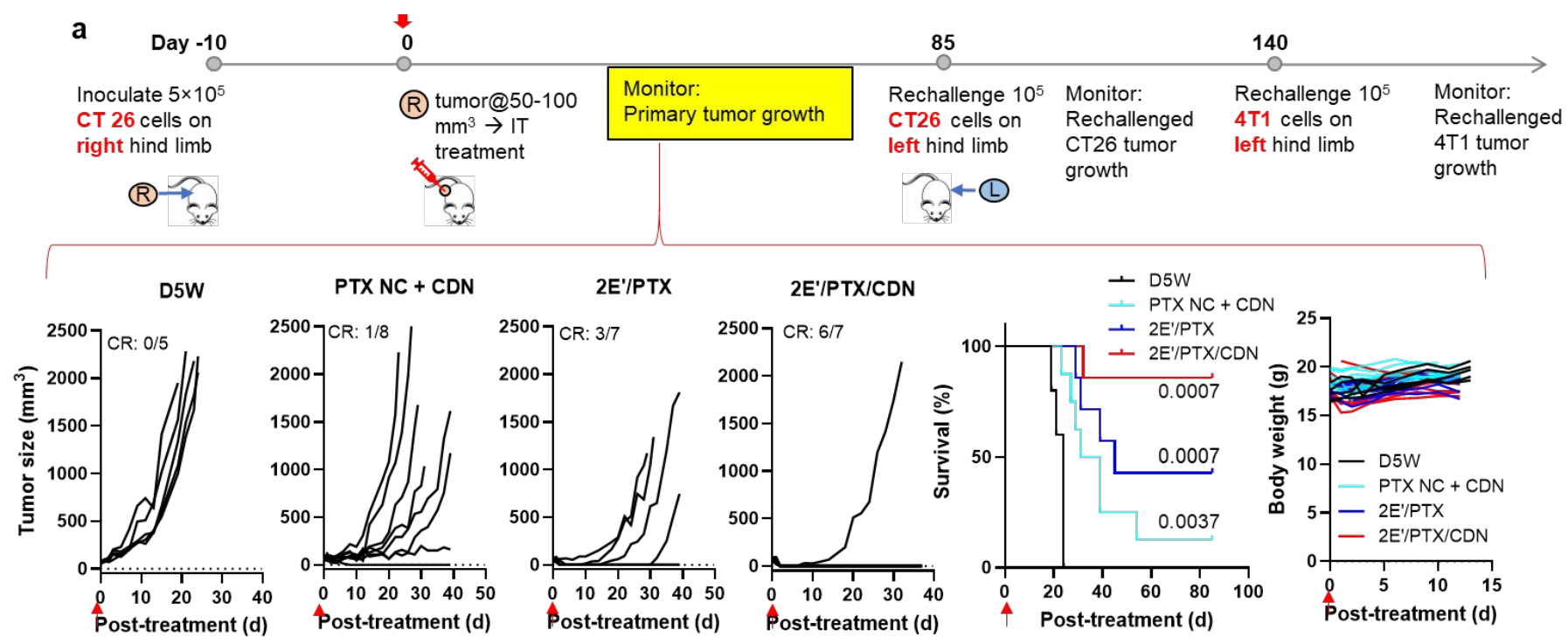
# Intratumoral injection of 2E'/PTX/siPD-L1 inhibits growth and metastasis of 4T1 tumors







ICD: Immunogenic cell death





# Summary

- An immunoactive nanoparticle, 2E' carrying PTX and siPD-L1, induced immediate regression of established tumors upon a single local administration and enhanced the activation of antitumor immunity, leading to systemic, long-term protection of surviving animals.
- Each component played distinct roles to activate antitumor immunity, with **2E'** activating innate immunity against tumor due to the immunoadjuvant effect and **PTX** inducing immunogenic cell death, which then activates T-cell immunity against tumors. **siPD-L1** contributed to the later step by preventing tumor expression of PD-L1 that would otherwise engage in immune checkpoint interaction and MDSC and Treg recruitment.
- 2E' provides a simple and versatile platform for local immunotherapy by accommodating combinations of chemotherapeutic drugs and nucleic acids that address multiple events involved in the antitumor immunity.




**Abstract**

Cancer immunotherapy aims to selectively activate host's immune response against tumors. Immunogenic cell death (ICD)-inducing chemotherapy can contribute to cancer immunotherapy by increasing the antigenicity of tumor cells and facilitating the immune recognition of tumor antigens. However, poor drug retention and inefficient recruitment of antigen presenting cells (APCs) to the tumor limit the efficacy of ICD inducers. Poly(d,l-lactic-co-glycolic acid) (PLGA) nanoparticles (NP) were developed to enhance the retention and availability of ICD inducers at the tumor. Furthermore, PLGA NPs were surface-modified with adenosine triphosphate (ATP), a chemotactic signal to APCs. The ATP-modified PLGA NPs enhanced the recruitment of APCs to the tumors by improving the stability and local availability of ATP. The ATP-modified PLGA NPs, loaded with paclitaxel (ICD inducing chemotherapy), provided the chemoattractant activity and enhanced the antitumor activity of the drug, leading to a significant delay in tumor growth. When combined with immune checkpoint blockade therapy, the paclitaxel-loaded, ATP-modified NPs induced complete tumor regression in 75% of the CT26 tumor-bearing Balb/c mice.

**Objective**

To develop a carrier of an ICD inducer that enhances the retention and stability of a drug and an APC attractant to activate antitumor immune response

**Immunogenic Cell Death**

Immunogenic apoptosis of cancer cells can activate dendritic cells (DCs) and tumor-specific T cells to induce effective anti-tumor immune responses.

Endoplasmic reticulum stress and reactive oxygen species production are key attributes of ICD induction.

ICD is mediated by damage-associated molecular patterns (DAMPs)

- Calreticulin (CRT) exposure
- High Motility Group Box 1 (HMGB1) release
- Adenosine triphosphate (ATP) release

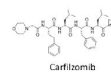
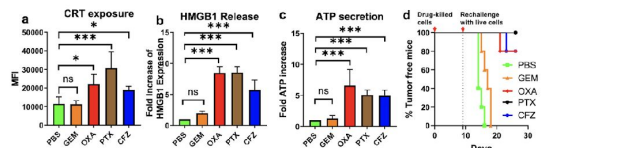
**Paclitaxel and Carfilzomib**

Paclitaxel (PTX)

- Microtubule inhibitor
- Low water solubility (8.5 – 17 µg/mL)
- Cell cycle arrest in G2/M phase by interference with spindle formation
- Indicated for breast, ovarian, pancreatic, and lung cancer as Taxol® or Abraxane®
- In clinical trials as a combination with anti-PD-L1 antibodies

Carfilzomib (CFZ)

- 2<sup>nd</sup> generation of irreversible proteasome inhibitor
- Low water solubility (0.7-3.6 µg/mL)
- Antiproliferative and proapoptotic activities in tumor cells.
- Indicated for multiple myeloma as Kyprolis®


**Validation of ICD inducers**
**PTX and CFZ induce ICD**


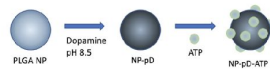
**Fig. 1.** Release of damage-associated molecular patterns from CT26 cells treated with cytotoxic agents at IC<sub>50</sub>. Gemcitabine (GEM): non-ICD inducer, negative control; oxaliplatin (OXA): known ICD inducer, positive control. (a) CRT exposure on the cell surface, (b) HMGB1 in the medium, (c) ATP in the medium, (d) percentage of tumor-free mice after the vaccination study.

**Immunofunctional Nanoparticle Design**

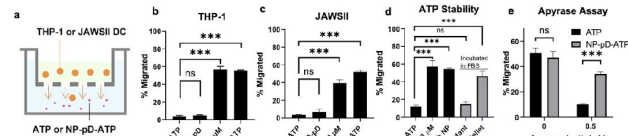
ATP

- Adenine based nucleotide
- MW: 507 g/mol
- Solubility: 50 mg/mL
- Binds to P2Y2 or P2Y7 receptors of dendritic cells
- Act as a "find me" signal
- Promote phagocytic clearance of dying cells

ATP is loaded to the surface of polydopamine layer-coated PLGA NP (NP-pD-ATP) to recruit dendritic cells



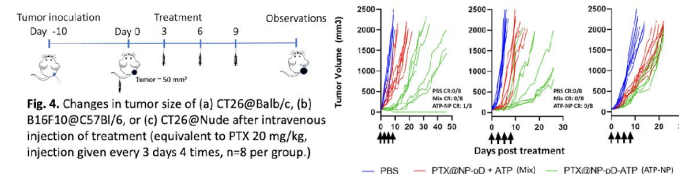
**Fig. 2.** Schematic illustration of ATP conjugation to PLGA NPs. PLGA-NPs were coated with polydopamine (pD) layer in pH 8.5 to produce PLGA-pD. The amine group of ATP was conjugated to the hydroxyl group of pD.

**Immunofunctional Activity of Nanoparticle**
**NP-pD-ATP recruits dendritic cells. NP conjugation increases the stability of ATP.**


**Fig. 3.** (a) Diagram of Transwell setup; (b) % THP-1 cells and (c) % JAWSII cells migrating across the Transwell in response to treatments. (d) % JAWSII cells migrating across the Transwell in response to NPs incubated in FBS or the supernatant. ATP, NP-pD-ATP: 10 µM ATP equivalent. Free ATP and fresh NP are tested as references. (e) % JAWSII cells migrating across the Transwell in response to ATP or NP-pD-ATP receiving apyrase challenge.

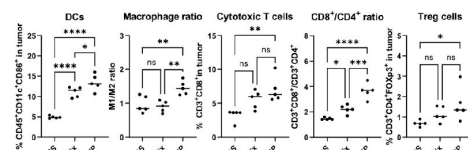
**In vivo evaluation of PTX loaded NP-pD-ATP (PTX@NP-pD-ATP)**

PTX@NP-pD-ATP shows greater antitumor effect than a mixture of ATP and PTX@NP-pD in CT26 tumors and B16F10 melanoma in the immunocompetent syngeneic hosts, while the difference is not observed in immunodeficient nude mice



**Fig. 4.** Changes in tumor size of (a) CT26@Balb/c, (b) B16F10@C57Bl/6, or (c) CT26@Nude after intravenous injection of treatment (equivalent to PTX 20 mg/kg, injection given every 3 days 4 times, n=8 per group.)

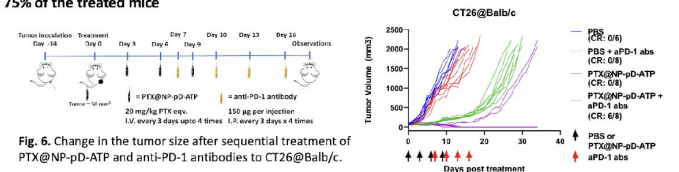
PTX@NP-pD-ATP enhances tumor infiltration of DCs, macrophages, CD8<sup>+</sup> T cells better than the mixture of ATP and PTX@NP-pD, but also increases Treg populations



**Fig. 5.** Immune cells and the ratio of cells in tumor at 7 days after single intratumoral injection of treatment (equivalent to PTX 5 mg/kg. Mix: a mixture of PTX@NP-pD and ATP; ATP-NP: PTX@NP-pD-ATP)

**Combination therapy of PTX@NP-pD-ATP with anti-PD-1 antibodies**

PTX@NP-pD-ATP combined with anti-PD-1 antibodies results in complete regression of CT26 tumor in 75% of the treated mice



**Fig. 6.** Change in the tumor size after sequential treatment of PTX@NP-pD-ATP and anti-PD-1 antibodies to CT26@Balb/c.

**Summary**

- In vitro DAMP screening and in vivo vaccination study support that PTX and CFZ are ICD inducers.
- NP-conjugated ATP retained chemoattractant activity. The conjugated ATP remained conjugated in serum and showed greater stability than free ATP in apyrase.
- PTX@NP-pD-ATP showed greater antitumor effect than a mixture of ATP and PTX@NP-pD, in a manner dependent on an intact immune system.
- Immunophenotyping of CT26 tumors treated with PTX@NP-pD-ATP suggests that the enhanced antitumor activities have been mediated by the increased infiltration of dendritic cells, macrophages, and CD8<sup>+</sup> T cells in response to PTX-generated tumor antigens and ATP presented by the NPs.
- Treg population also increased in the PTX@NP-pD-ATP-treated CT26 tumors, which may explain the tumor growth in the later phase.
- PTX@NP-pD-ATP combined with anti-PD-1 antibodies led to complete regression of CT26 tumors by inhibiting Treg interaction with CD8<sup>+</sup> T cells.



**At the Poster Pub:**

- Wednesday, July 13 @ 7-9 PM
- Thursday, July 14 @ 5-6 PM