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Particle-stabilized lipid-based formulations for 3D printing of solid lipid tablets by semi-solid extrusion

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Personalized solid oral dosage forms for children

- Challenges with pediatric drug delivery
 - Lack of age appropriate formulations
 - Dose specificity
 - Oral administration preferred: easy to swallow and palatable

Tablet manipulation



- Inaccurate dosing
- Stability
- Solubility, bioavailability

Patient compliance and acceptability



Observed manipulations, medicinal product, type of drug manipulation, and administration via enteral feeding tube, by age group.

Age group	Medicinal product (API)	Dosage form	Type of manipulation ^a	Administration via enteral feeding tube
Neonates (0–28 days)	–	–	–	–
Infants and toddlers (1–23 months)	Allopurinol Teva (allopurinol)	tablet	split, crush, dispersion in liquid	Yes
	Emend (aprepitant)	capsule, hard	open, dispersion in liquid, proportion of drug dose	Yes
Children, pre-school (2–5 years)	Allopurinol Teva (allopurinol)	tablet	split, crush, dispersion in liquid	Yes
	Celebra (celecoxib)	capsule, hard	dispersion in liquid, proportion of drug dose	Yes
	Emend (aprepitant)	capsule, hard	open, dispersion in liquid	Yes
	Lanvis (tioguanine)	tablet	dispersion in liquid	Yes
	Spironolactone Accord (spironolactone)	film-coated tablet	proportion of drug dose	Yes
	Stesolid (diazepam)	tablet	crush, dispersion in liquid	Yes
Children, school (6–11 years)	Probucid (probenecid)	tablet	dispersion in liquid	Yes ^b



Manipulations and age-appropriateness

ATC-code		API		
Anatomical group	Therapeutic subgroup	Class I	Class II	Class III
A: Alimentary tract and metabolism	02 03 06 09	metoclopramide	bisacodyl	omeprazole sterculia gum, lactitol multienzymes calcium carbonate, calcium lactate gluconate, zinc sulfate
	12			
C: Cardiovascular system	03 07 09	metoprolol	spironolactone enalapril, losartan	
H: Systemic hormonal preparations	01 02	prednisolone	desmopressin fludrocortisone, hydrocortisone	betamethasone, dexamethasone
J: Anti-infective for systemic use	01		tetracycline, nitrofurantoin	
L: Antineoplastic and immune-modulating agents	01 04	cyclophosphamide, temozolomide, tioguanine azathioprine, methotrexate	imatinib, nilotinib	
M: Musculo-skeletal system	01 04		diclofenac, naproxen, allopurinol	
N: Nervous system	03 05	gabapentin	clonazepam diazepam	
R: Respiratory system	01 03 06		phenylpropanolamine meclozine	montelukast
V: Various	03	calcium folinate	deferasirox	
Total		10	19	10



Jenny Johannesson

Class I: lack of child-friendly dosage form and appropriate dose strength

Class II: lack of either child-friendly dosage form or appropriate dose strength

Class III: age-appropriate oral formulation available

**29 of 39 APIs
needed
personalized
dosage forms!**



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3D printing technologies

- *Frontier* in pharmaceutical manufacturing: 3D-printed personalized drug products^[1]
 - FDA approved product in 2015
 - varying shape
 - varying composition



oral tailored-dose therapies in a hospital setting, acceptability^[2]



orodispersible printlets for pediatric use^[3]

Fused deposition modeling (FDM)

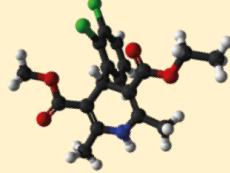
- High temperature (140-200 °C)
- Slow printing speed

Semi-solid extrusion (SSE)

- Suitable for thermolabile APIs
- Faster printing speed



Poorly water-soluble drugs and lipid formulations

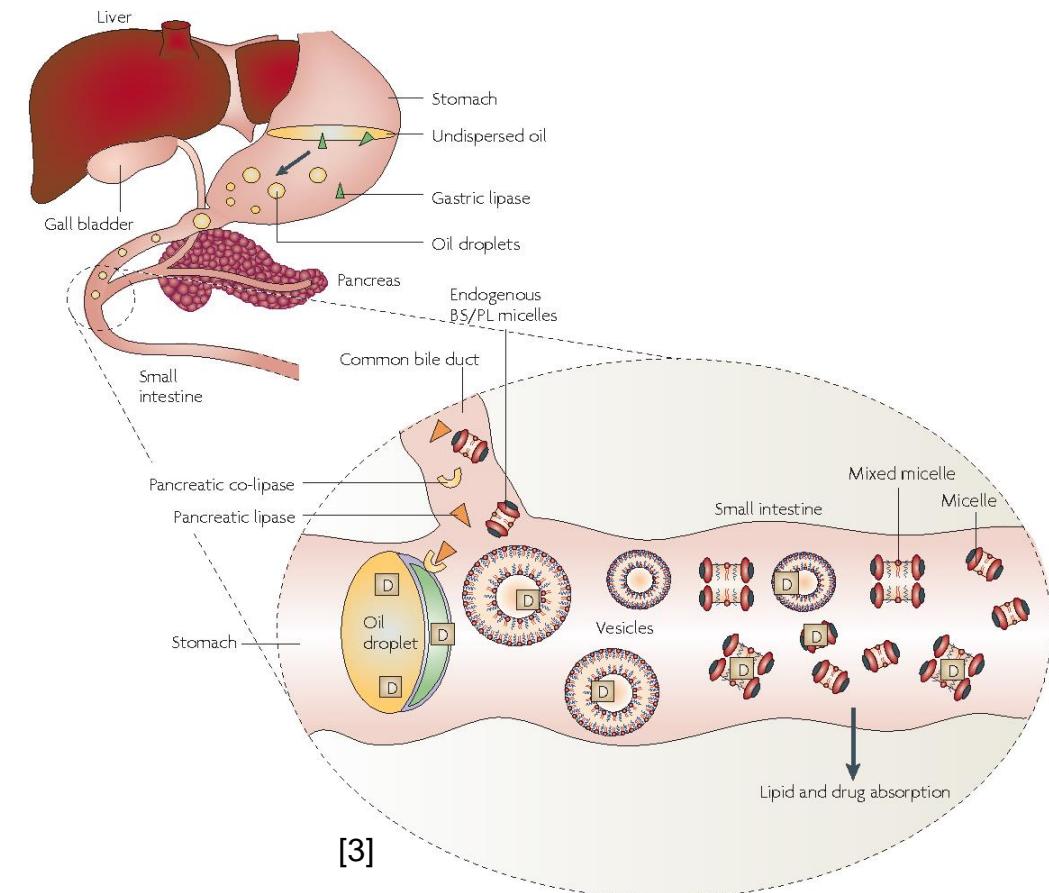


poorly water
soluble drug

- Lipid-based formulations (LBF)^[1]
- LBFs filled in gelatin capsules
- Advantages of solid dosage form^[2]
 - physicochemical stability
 - reduced production costs



Aim: design lipid based formulations (LBFs) carrying poorly water soluble drugs suitable for 3D printing into minitablets

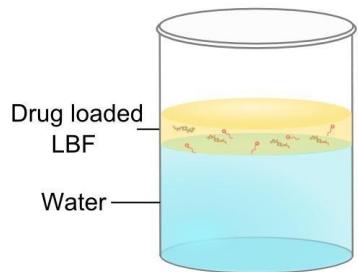




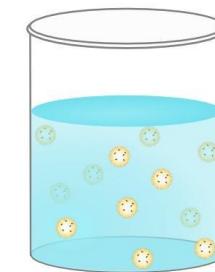
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3D printing of solid lipid tablets from emulsion gels

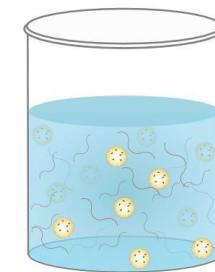
a) LBF + water



b) O/W emulsion

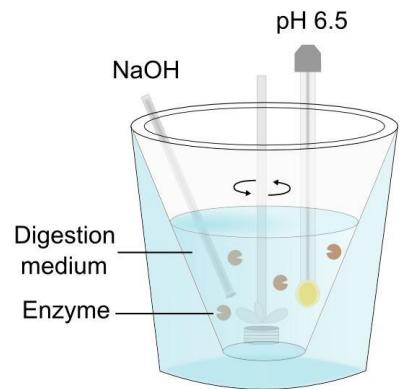


c) Emulsion gel



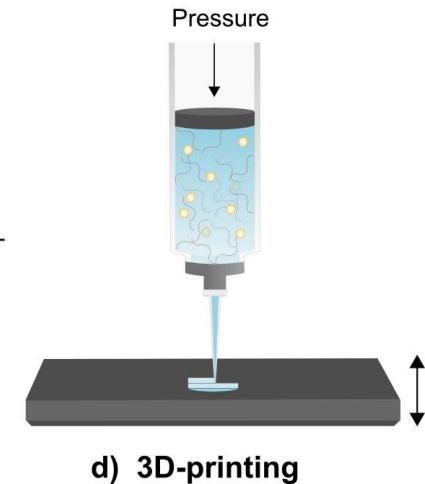
Emulsification
1. Ultrasonication
2. Microfluidization

Polymer



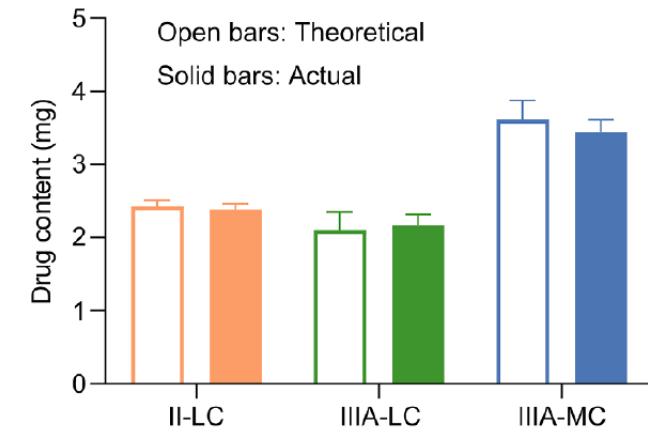
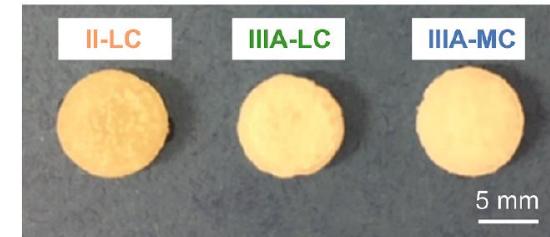
f) *In vitro* digestion

e) Vacuum drying



d) 3D-printing

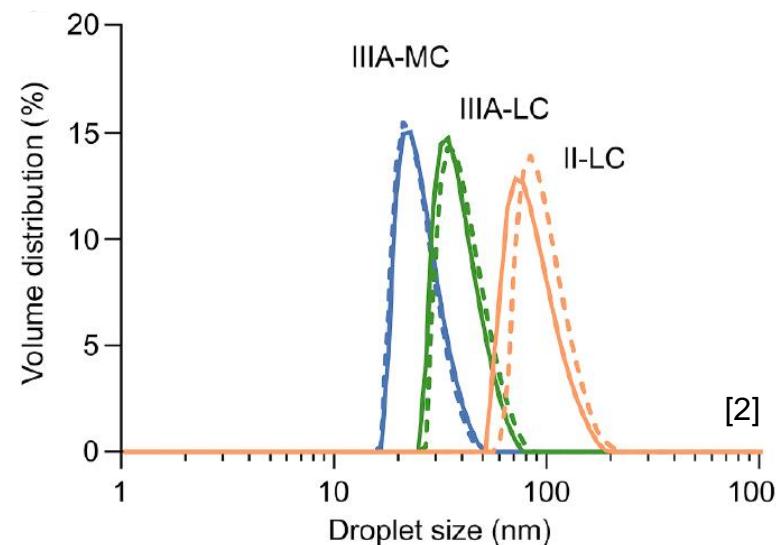
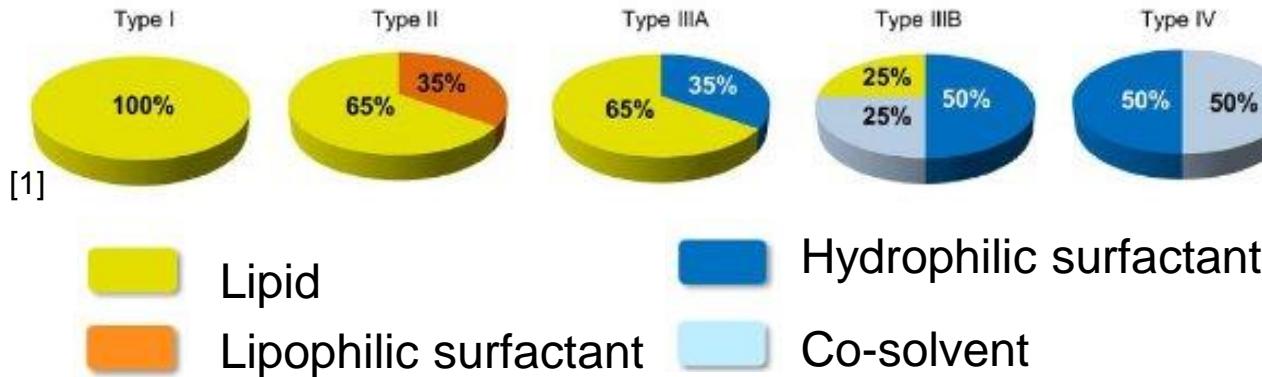
Conventional O/W emulsions with **type II and III** LBFs were successfully 3D printed (SSE) into solid lipid tablets





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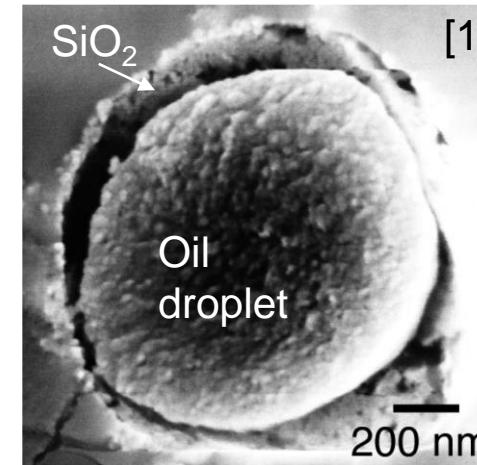
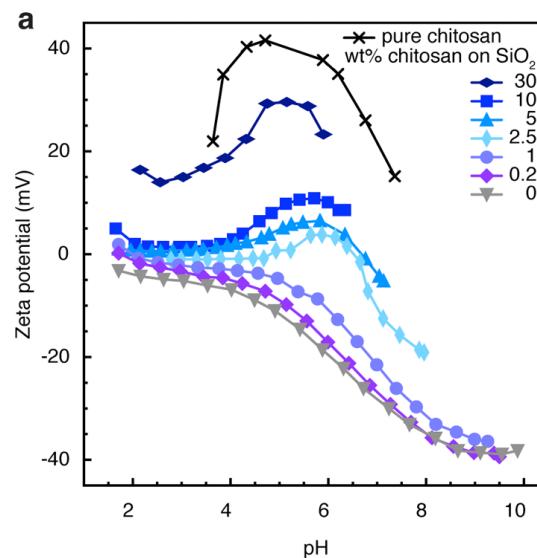
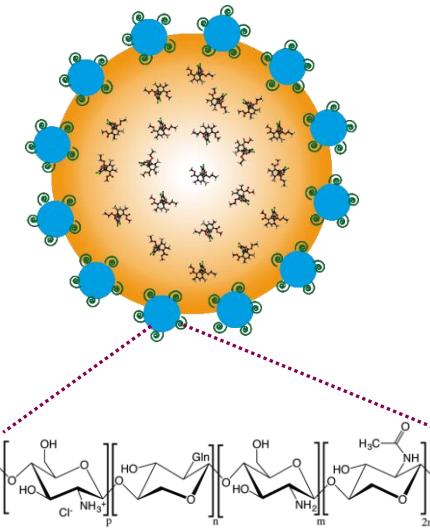
LBF type I formulations



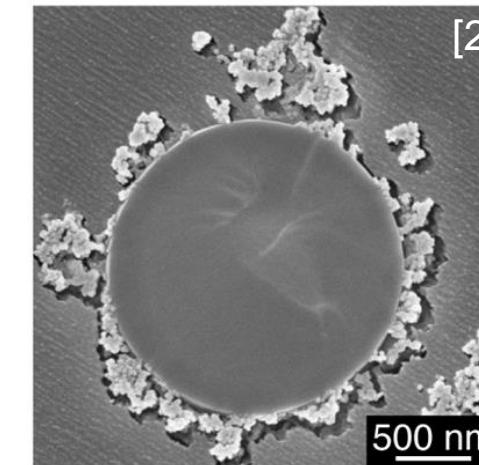
[1] <https://www.americanpharmaceuticalreview.com/Featured-Articles/154717-The-LFCS-Consortium-Supporting-Rational-Design-and-Testing-of-Lipid-Based-Formulations/>

[2] Johannesson et al., *Int. J. Pharm.* **597**, 120304 (2021).

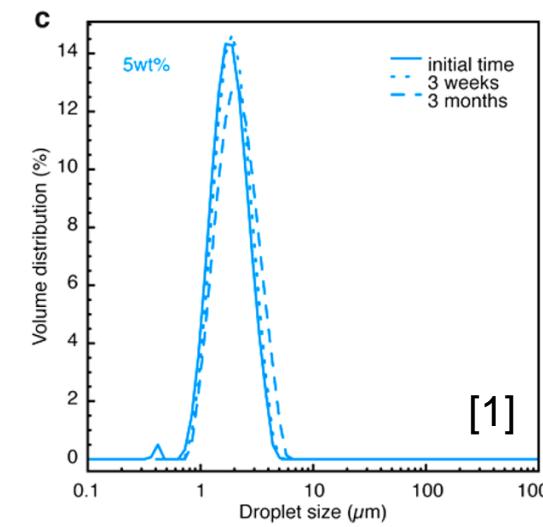
Particle-stabilized emulsions for 3D printing



Pickering stabilization



Gel-like emulsion by particle agglomerates



- Emulsions with μm -sized oil droplets stable > 3 months
- Pickering emulsions can be 3D printed^[3]

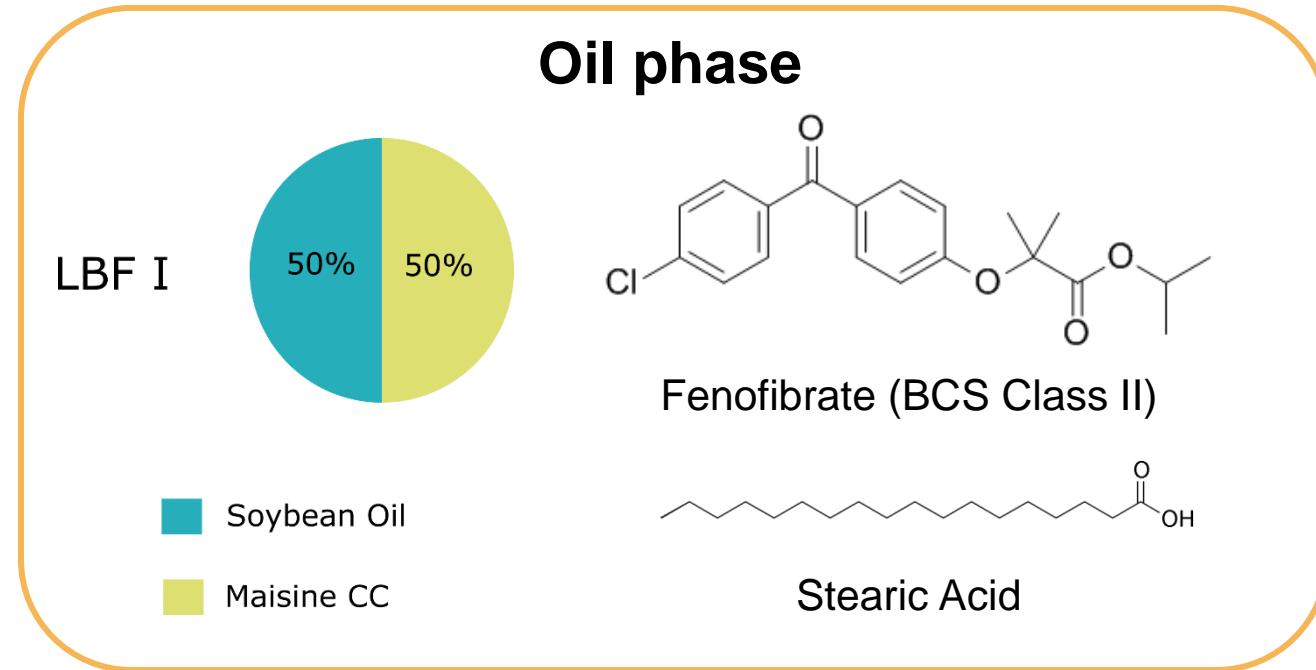


Manufacturing of particle-stabilized LBF I



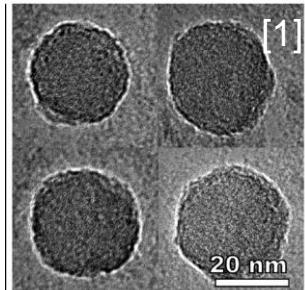
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Oil water (3:7)



Water phase

- SiO_2 nanoparticle suspension (Ludox TM50)



[1] Bollhorst et al., *Chem. Mater.* **25**, 3464 (2013).



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Manufacturing of particle-stabilized LBF I



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Oil water (3:7)



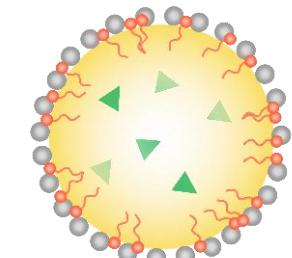
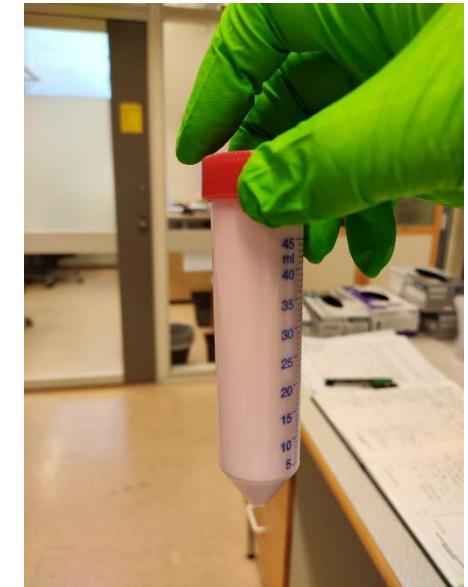
Pre-emulsification



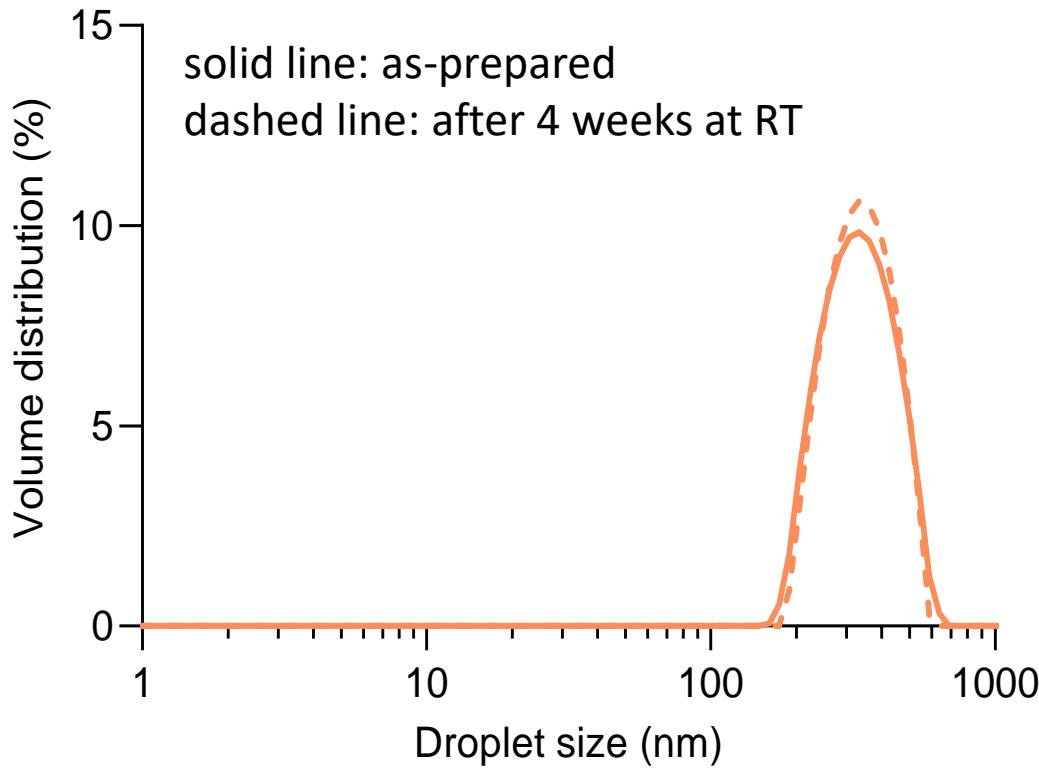
Microfluidization



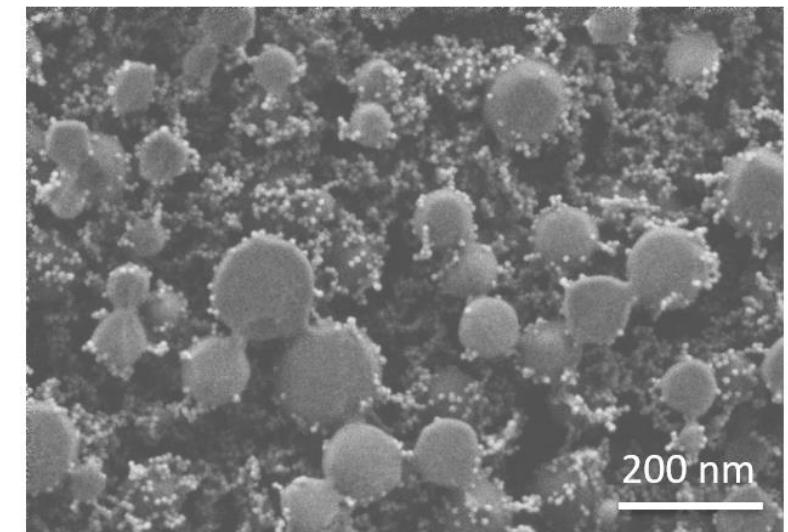
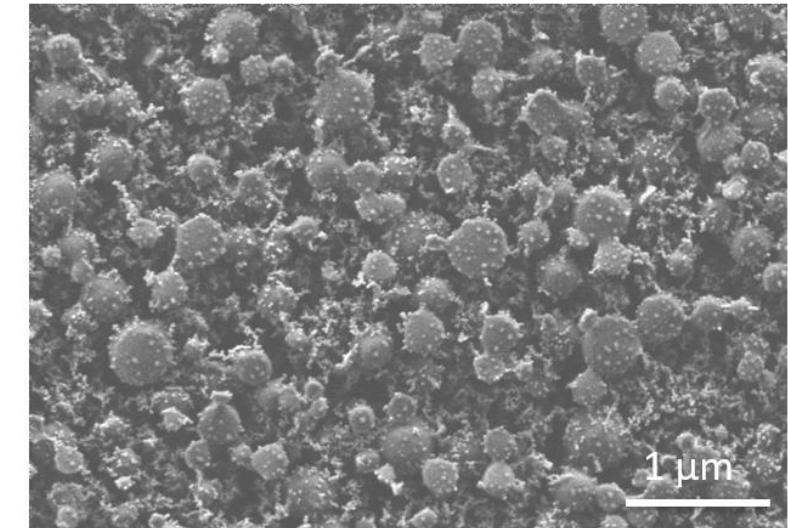
Emulsion



Particle-stabilized LBF I formulations



- Stable LBF I emulsions with SiO_2 and stearic acid

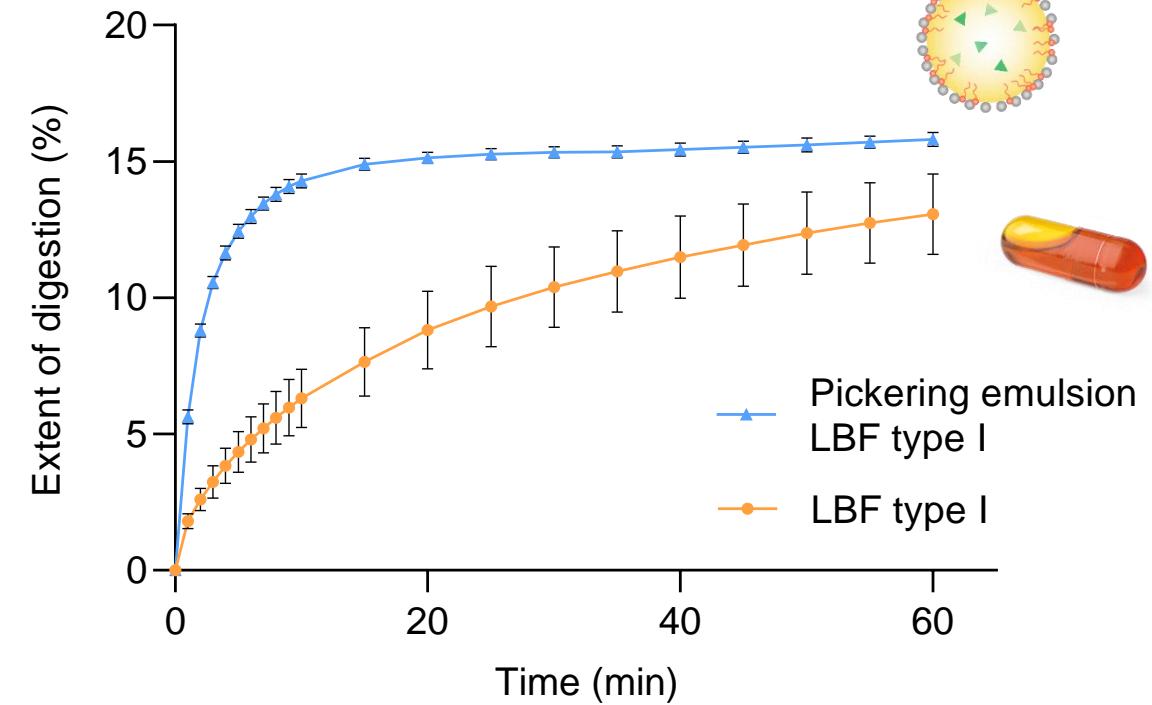
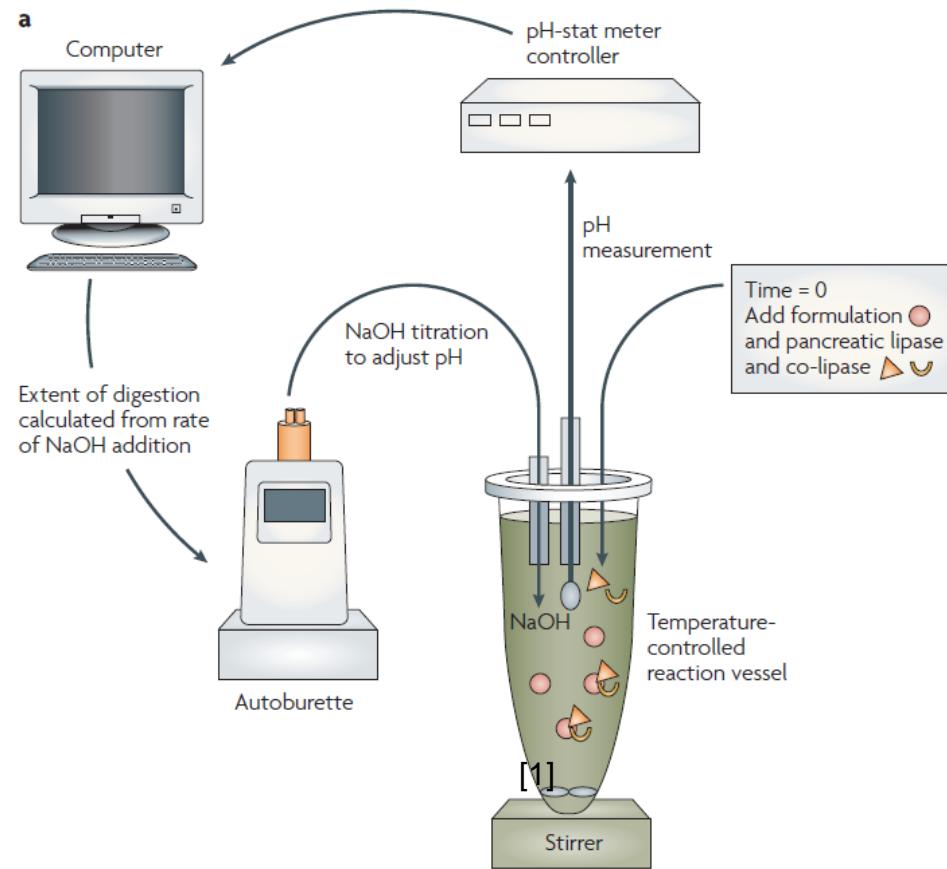


cryo-SEM images



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In vitro LBF digestion in the small intestine



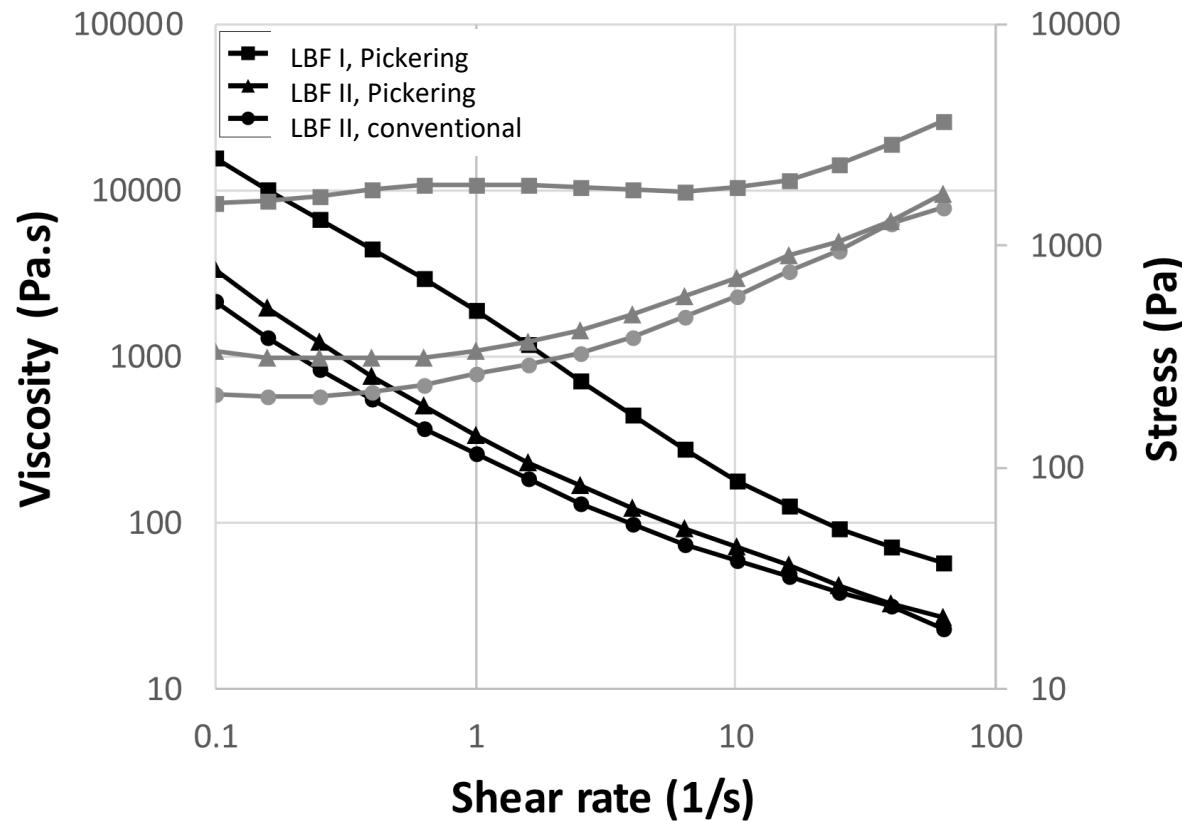


Preparation of emulsion gel

Product	Ingredient	Role of ingredient
Methocel™ A4M, Sigma-Aldrich	Methyl Cellulose	Viscosity enhancer
Methocel™E4M Premium, Colorcon	Hydroxypropyl methyl cellulose (HPMC)	Viscosity enhancer
Ac-Di-Sol® SD-711, DUPONT	Croscarmellose sodium	Disintegrant

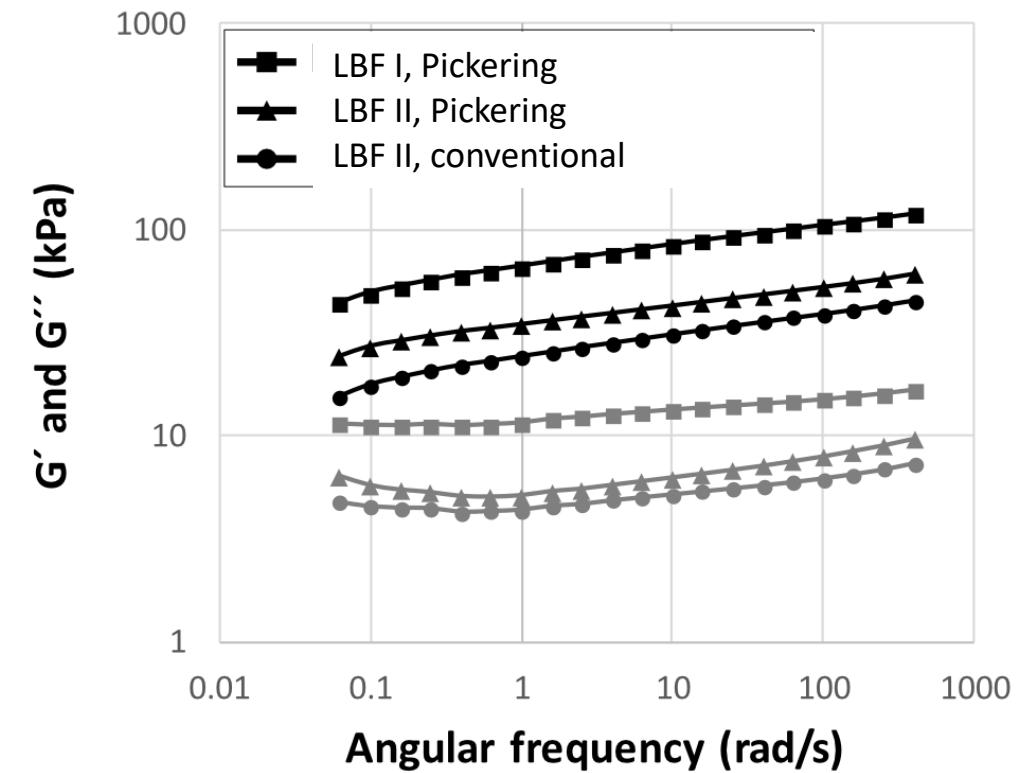


Rheological characterization of emulsion gel



Emulsion gels
 LBF I, Pickering
 LBF II, Pickering
 LBF II, conventional emulsion

Apparent yield stress (Pa)
 1682 ± 12
 353 ± 5
 188 ± 9

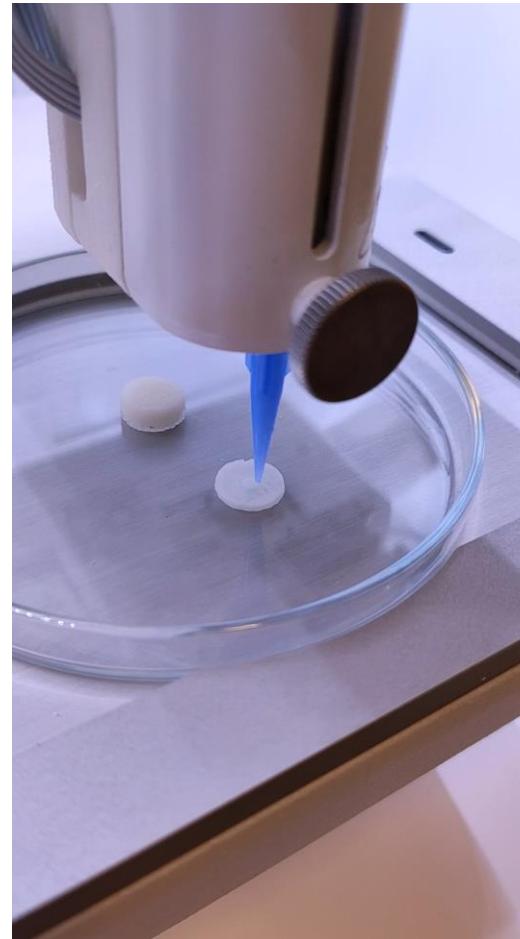


- Shear-thinning behaviour
- Predominantly solid-like behaviour ($G' > G''$) over the full frequency range
- $G' > G''$ also at low frequencies indicate long term stability of gels



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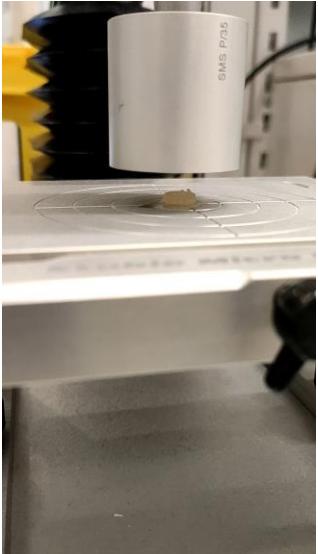
3D printing of solid lipid tablets by SSE



- 3D printed tablets about 150 mg (dry), $\varnothing 8.7$ mm, 2.9 mm thickness
- Disintegration time < 15 min in water (basket-rack assembly with discs)



Texture analysis

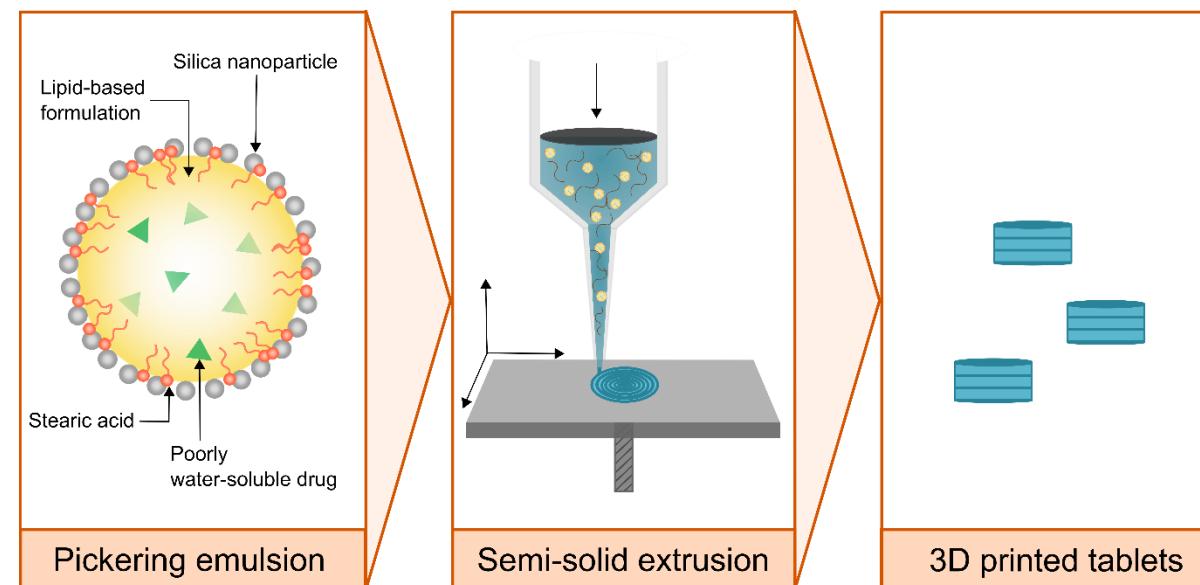


Sample	Hardness (g)	Adhesiveness (g s)	Springiness
LBF I, Pickering	2788.1 ± 379.1	-0.13 ± 0.026	2.5 ± 0.4
LBF II, Pickering	1715.0 ± 251.8	-0.032 ± 0.030	2.1 ± 0.3
LBF II, conventional O/W emulsion	1998.7 ± 156.5	-0.13 ± 0.028	2.0 ± 0.1
Multivitamin Monkids chewable tablets	348.5 ± 13.9	0.035 ± 0.006	5.0 ± 0.2
Haribo Goldbears gummybears	348.0 ± 20.4	0.024 ± 0.020	11.2 ± 0.1
Läkerol licorice pastilles	5025.7 ± 233.6	-0.54 ± 0.071	4.0 ± 0.1



Conclusions

- Lipid-based formulations were successfully 3D printed from emulsions into minitablets
- Kinetically unstable LBF I formulations were stabilized by SiO_2 nanoparticles in printable Pickering emulsions
- Offers a way to develop personalized dosage forms intended for delivering poorly water-soluble lipophilic drugs





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Uppsala University

- Teleki lab team members
 - **Malhar Manik Pathare**
 - Dr. Ann-Christin Jacobsen
 - Dr. Hannah Pohlit
 - Shno Asad
 - Shaquib Rahman Ansari
 - Yuming Zhang
 - Yael Suarez
 - Paarkavi Udayakumar
- **Prof. Christel Bergström**
 - **Jenny Johannesson**

SLU: Swedish University of Agricultural Sciences

- **Mathias Johanson**

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Shaping the future of drug delivery



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LBF classification system

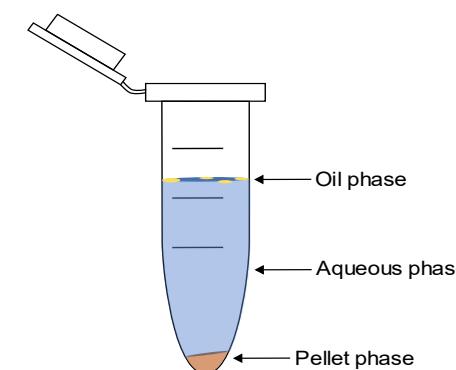
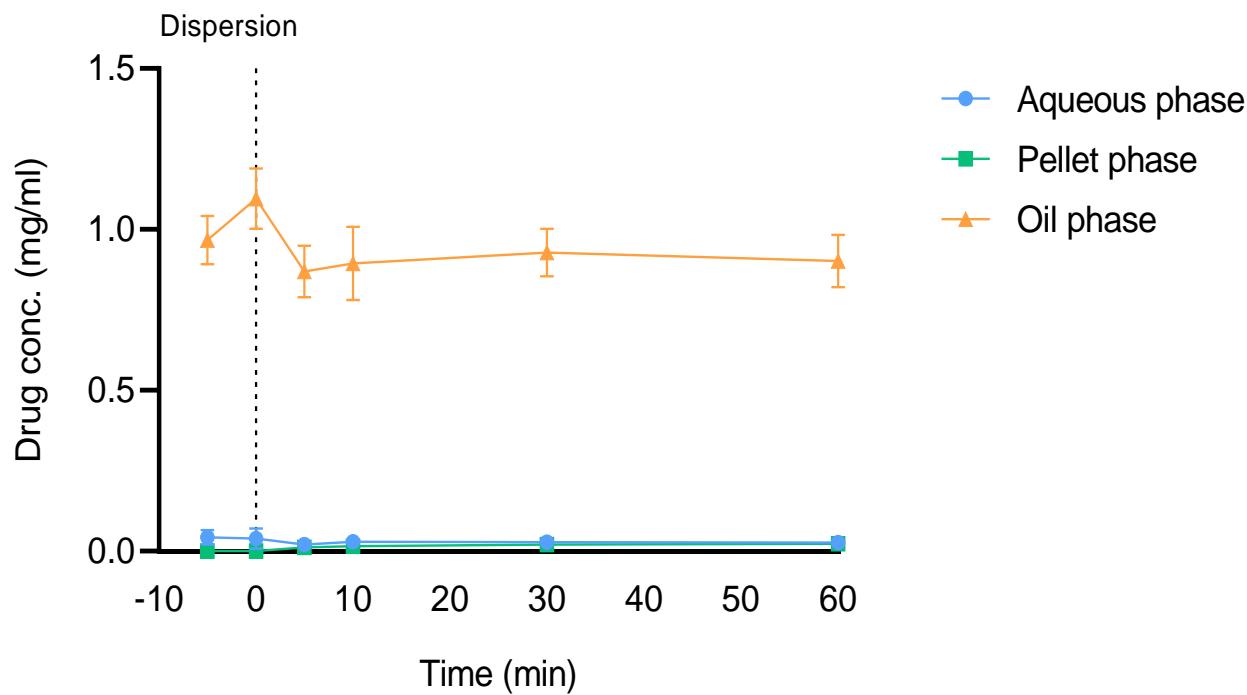
increasing hydrophilicity

EXCIPIENTS (%), w/w	TYPE I OIL	TYPE II SEDDS	TYPE IIIA SEDDS	TYPE IIIB SMEDDS	TYPE IV OIL-FREE
Oils: tri, di & mono glycerides	100	40-80	40-80	<20	-
Water insoluble surfactants	-	20-60	-	-	0-20
Water soluble surfactants	-	-	20-40	20-50	30-80
Hydrophilic co-solvents	-	-	0-40	20-50	0-50
Dispersion	Limited or no dispersion	Dispersing	Rapidly dispersing	Transparent dispersion	Micellar solution



Pouton, *Eur. J. Pharm. Sci* **9**, 278 (2006).

Drug distribution – LBF I



Formulation dispersion in lipolysis buffer

